


TD F 90-22.49 Treasury form (Rev. September 1997)	Suspicious Activity Report by Casinos	 OMB No. 1506-0006	1
1 Check appropriate box(es) (see instructions): a <input type="checkbox"/> Initial report b <input type="checkbox"/> Corrected report c <input type="checkbox"/> Supplemental report d <input type="checkbox"/> Form 8362 (CTRC) or Form 8852 (CTRC-N) filed			
Part I Casino Reporting Information			
2 Casino's trade name		3 Casino's legal name	
5 Permanent address (number, street, and apt. or suite no.)		4 Employer identification number <div style="border-bottom: 1px solid black; width: 100%;"></div>	
6 City		7 State <div style="border-bottom: 1px solid black; width: 100%;"></div>	8 ZIP code <div style="border-bottom: 1px solid black; width: 100%;"></div>
		9 Type of gaming institution a <input type="checkbox"/> State licensed casino b <input type="checkbox"/> Tribal casino c <input type="checkbox"/> Card club d <input type="checkbox"/> Other (specify) _____	
Part II Suspect Information (Person Involved in Suspicious Activity)			
10 Individual's last name		11 First name	
		12 Middle initial	
14 Permanent address (number, street, and apt. or suite no.)		13 SSN/ITIN <div style="border-bottom: 1px solid black; width: 100%;"></div>	
16 City		17 State <div style="border-bottom: 1px solid black; width: 100%;"></div>	18 ZIP code <div style="border-bottom: 1px solid black; width: 100%;"></div>
		15 Date of birth M M D D Y Y Y Y <div style="border-bottom: 1px solid black; width: 100%;"></div>	
20 Organization's name (if applicable)		19 Country (if not U.S.)	
		21 EIN <div style="border-bottom: 1px solid black; width: 100%;"></div>	
22 Describe identification credential: a <input type="checkbox"/> Driver's license/State I.D. b <input type="checkbox"/> Passport c <input type="checkbox"/> Alien registration d <input type="checkbox"/> Other _____			
e Number: <div style="border-bottom: 1px solid black; width: 100%;"></div> f Issuing authority: <div style="border-bottom: 1px solid black; width: 100%;"></div>			
23 Residence phone number - (include area code) () -		24 Work phone number - (include area code) () -	
25 Account number, if any <div style="border-bottom: 1px solid black; width: 100%;"></div>		26 Occupation/type of business <div style="border-bottom: 1px solid black; width: 100%;"></div>	
27 Relationship to casino: a <input type="checkbox"/> Customer c <input type="checkbox"/> Junket/Tour operator e <input type="checkbox"/> Check cashing operator g <input type="checkbox"/> Other (specify) _____ b <input type="checkbox"/> Agent d <input type="checkbox"/> Employee f <input type="checkbox"/> Supplier			
28 Does casino still have a business association and/or an employee/employer relationship with suspect? a <input type="checkbox"/> Yes b <input type="checkbox"/> No, if so, specify below: c <input type="checkbox"/> Barred d <input type="checkbox"/> Resigned e <input type="checkbox"/> Terminated f <input type="checkbox"/> Other (specify) _____		29 Date barred, resigned or terminated M M D D Y Y Y Y <div style="border-bottom: 1px solid black; width: 100%;"></div>	
NOTICE: This form is required to be used by Nevada casino licensees in satisfying the new suspicious activity reporting requirement contained in Nevada Gaming Commission Regulation 6A, Section 100. The U.S. Department of the Treasury's Financial Crimes Enforcement Network (FinCEN) intends to issue a notice of proposed rulemaking, sometime in 1997, that would require casinos and card clubs subject to the requirements of the Bank Secrecy Act and its implementing regulations (31 CFR Part 103) to report suspicious activity. Until such a rule is published as a <i>final</i> rule in the Federal Register and takes effect, casinos and card clubs in jurisdictions other than Nevada are encouraged, but not yet required to file this form to report suspicious activity. Reports filed by Nevada casinos and any reports filed voluntarily by other casinos and card clubs will be fully subject to the protection from liability contained in 31 U.S.C. 5318(g)(3) and to the limitation on notification of customer contained in 31 U.S.C. 5318(g)(2).			

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30 Date of suspicious activity <div style="display: flex; justify-content: space-around; font-size: 0.8em;"> MMDDYYYY </div> <div style="display: flex; justify-content: space-around; height: 20px; border-top: 1px solid black;"></div>	31 Dollar amount involved in suspicious activity <div style="display: flex; align-items: center;"> \$ <div style="flex-grow: 1; border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; right: 0; top: -10px; font-weight: bold;">00</div> </div> </div>	
32 Type of suspicious activity (check no more than 4 boxes): <div style="display: flex; flex-wrap: wrap; padding: 5px;"> <div style="width: 33%;"> a <input type="checkbox"/> Bribery </div> <div style="width: 33%;"> f <input type="checkbox"/> Large transactions with minimal gaming </div> <div style="width: 33%;"> k <input type="checkbox"/> Use of different monetary instruments </div> <div style="width: 33%;"> b <input type="checkbox"/> Counterfeit/Fraudulent check </div> <div style="width: 33%;"> g <input type="checkbox"/> Misuse of position </div> <div style="width: 33%;"> l <input type="checkbox"/> Use of multiple credit or deposit accounts </div> <div style="width: 33%;"> c <input type="checkbox"/> Counterfeit/Fraudulent credit/debit card </div> <div style="width: 33%;"> h <input type="checkbox"/> Money laundering </div> <div style="width: 33%;"> m <input type="checkbox"/> Unusual use of wire transfers </div> <div style="width: 33%;"> d <input type="checkbox"/> Embezzlement/Theft </div> <div style="width: 33%;"> i <input type="checkbox"/> No apparent business or lawful purpose </div> <div style="width: 33%;"> n <input type="checkbox"/> Other suspicious or illegal activity (specify) </div> <div style="width: 33%;"> e <input type="checkbox"/> Large U.S. currency exchanges </div> <div style="width: 33%;"> j <input type="checkbox"/> Structuring </div> </div>		

Part IV Law Enforcement Agency Information

33 Has a law enforcement agency been contacted (<i>excluding submission of a SARC to FinCEN at DCC or a copy to a gaming regulator</i>)? a <input type="checkbox"/> Yes, if so, specify below: b <input type="checkbox"/> No c <input type="checkbox"/> IRS d <input type="checkbox"/> FBI e <input type="checkbox"/> USCS f <input type="checkbox"/> USSS g <input type="checkbox"/> Other Federal h <input type="checkbox"/> State Gaming i <input type="checkbox"/> Other State j <input type="checkbox"/> Local k <input type="checkbox"/> Tribal l <input type="checkbox"/> Agency name (for g, h, i, j or k) _____										
34 Agency address (number, street, and apt. or suite No.)							35 Date contacted M M D D Y Y Y Y 			
36 City			37 State		38 ZIP code -			39 Phone number (include area code) () -		
40 Last name of person contacted			41 First name			42 Middle initial		43 Title		

Part V Witness Information (if applicable)

44 Last name	45 First name	46 Middle initial	47 Title/Occupation
48 Permanent address (number, street, and apt. or suite no.)			49 Date of birth M M D D Y Y Y Y
50 City	51 State	52 ZIP code	53 Country (if not U.S.)
54 Residence phone number - (include area code) () -	55 Work phone number - (include area code) () -		56 Was witness interviewed by casino or a law enforcement agency? a <input type="checkbox"/> Yes b <input type="checkbox"/> No

Part VI Preparer Information

[illegible]**Part VII** **Contact for Assistance (if different from Preparer in Part VI)**

63	Last name	64	First name	65	Middle initial
66	Title	67 Work phone number (include area code)			
		() -			
68 Organization name (if applicable and other than casino in Item 2)					

Explanation/Description of Known or Suspected Violation of Law(s) or Suspicious Activity. Provide a clear and concise account of the possible violation of law(s). Describe in detail what is unusual, irregular or suspicious about the activity. Use the checklist below as you prepare your account. This section of the report is **critical**. The care with which it is written may make the difference in whether or not the described suspicious conduct and/or its possible criminal nature are clearly understood.

- a. **Provide** a brief chronological summary of the suspicious activity.
- b. **Indicate** where the possible violation of law(s) took place (e.g., branch, cage, specific gaming pit, specific gaming area, etc.).
- c. **Explain** who benefited, financially or otherwise, from the transaction(s), how much and how; and whether completed or only attempted.
- d. **Describe** suspect's position if a casino employee (e.g., dealer, pit supervisor, cage cashier, host, director of marketing, etc.).
- e. **Indicate** whether funds or assets were recovered and, if so, enter the dollar value of the recovery in whole dollars only.
- f. **Indicate** whether the possible violation of law(s) is an isolated incident or relates to another transaction(s).
- g. **Indicate** whether there is any other related litigation; if so, specify its status.
- h. **Describe** supporting documentation, including any video or audio tapes and credit bureau report which relate to the activity.
- i. **Describe** any additional information which is necessary to fully understand the unusual, irregular or suspicious nature of the activity.
- j. **Recommend** any further investigation that might assist law enforcement authorities.

For Money Laundering, Structuring, or Wire Transfer referrals, please include the following additional information:

- k. **Indicate** whether U.S. or foreign currency and/or monetary instrument(s) were involved. If so, provide the amount and/or description.
- l. **Indicate** any additional casino account number(s), and any domestic or foreign bank(s) and/or account number(s) which may be involved.
- m. **Indicate** for a foreign national any available information on U.S. or foreign visas including country and/or city of issuance, or temporary U.S. address.

Retain for a Period of Five Years:

- All supporting documentation, including any video or audio tapes and credit bureau report which relate to the suspicious activity.
- Any confession, admission, or explanation of the transaction(s) provided by the suspect(s) and indicate to whom and when it was given.
- Any confession, admission, explanation or interview concerning the transaction(s) provided by any other person(s) and indicate to whom and when it was given.
- Any evidence of cover-up or evidence of an attempt to deceive federal or state gaming regulators or others.

All supporting documentation must be made available, upon request, to appropriate law enforcement authorities and regulatory agencies.

Enter explanation/description in the space below. If needed, continue the narrative on the next page. Do **not** submit supporting documentation when filing this form.

Continue entering explanation/description in the space below. If more space is needed, continue the narrative on a single duplicate of this page.

Suspicious Activity Report by Casinos Instructions

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Safe Harbor Federal law (31 U.S.C. 5318(g)(3)) provides complete protection from civil liability for all reports of suspicious transactions made to appropriate authorities, including supporting documentation, regardless of whether such reports are filed pursuant to this report's instructions or are filed on a voluntary basis. Specifically, the law provides that a financial institution, and its directors, officers, employees and agents, that make a disclosure of any possible violation of law or regulation, including in connection with the preparation of suspicious activity reports, "shall not be liable to any person under any law or regulation of the United States or any constitution, law, or regulation of any State or political subdivision thereof, for such disclosure or for any failure to notify the person involved in the transaction or any other person of such disclosure".

Notification Prohibited Federal law (31 U.S.C. 5318(g)(2)) requires that a financial institution (a term which includes a casino or card club), and its directors, officers, employees, and agents, who report suspicious transactions to the government may not notify any person involved in the transaction that the transaction has been reported.

If the suspicious or suspected illegal activity requires immediate attention (e.g., when a transaction required to be reported is ongoing) the casino shall immediately notify, by telephone, an appropriate law enforcement authority. In addition, a timely suspicious activity report shall be filed. When appropriate, the casino may also notify a state, local or tribal casino gaming regulatory agency.

When To Make A Report:

1. Nevada Casinos.

- a. All casinos subject to Nevada Gaming Commission Regulation 6A are required, pursuant to Nevada regulatory requirements, to make this report following the discovery of a suspicious transaction.
- b. A casino subject to Nevada Regulation 6A must file this report no later than 30 calendar days after the date of initial detection of facts that may constitute a basis for filing the report. If no suspect was identified on the date of detection of the transaction requiring the filing, a casino may delay filing a suspicious activity report for an additional 30 calendar days to identify a suspect. In no case shall reporting be delayed more than 60 calendar days after the date of initial detection of a transaction that is required to be reported.

2. Casinos or club clubs in jurisdictions other than Nevada.

- a. FinCEN intends to issue a notice of proposed rulemaking, sometime in 1997, that would require casinos and card clubs subject to the requirements of the Bank Secrecy Act and its implementing regulations (31 CFR Part 103) to report suspicious activity. Until such a rule is published as a *final* rule in the Federal Register and takes effect, casinos and card clubs in jurisdictions other than Nevada are encouraged, but not yet required to file this form to report suspicious activity. Any such voluntary report will be fully subject to the protection from liability contained in 31 U.S.C. 5318(g)(3) and to the limitation on notification of customer contained in 31 U.S.C. 5318(g)(2).

- b. Illustrative of the type of activity that casinos and card clubs should voluntarily report are those following the discovery of:

Any transaction (which includes a deposit, withdrawal, transfer between accounts, exchange of currency, loan, extension of credit, purchase or sale of any casino chips, tokens or other gaming instruments, or other monetary instrument or investment security, or any other payment, transfer, or delivery by, through, or to a casino of any stock, bond, or certificate of deposit, by whatever means effected) conducted or attempted by, at or through the casino and involving funds or other assets, if the casino knows, suspects, or has reason to suspect that:

- (1) The transaction involves funds derived from illegal activities or is intended or conducted in order to hide or disguise funds or assets derived from illegal activities (including, without limitation, the nature, source, location, ownership or control of such funds or assets) as part of a plan to violate or evade or to avoid any transaction reporting requirement under Federal law or regulation;

- (2) The transaction is designed to evade any regulations promulgated under the Bank Secrecy Act; or
- (3) The transaction has no business or apparent lawful purpose or is not the sort in which the particular customer would normally be expected to engage, and the casino knows of no reasonable explanation for the transaction after examining the available facts, including the background and possible purpose for the transaction.

3. All Casinos.

- a. The suspicious activity report does not need to be filed for those robberies and burglaries that are reported to local authorities.
- b. The Bank Secrecy Act regulations require that all casinos, except those in Nevada, report currency transactions in excess of \$10,000 on IRS Form 8362 to the Department of the Treasury. Nevada Gaming Commission Regulation 6A requires that Nevada casinos report currency transactions in excess of \$10,000 on IRS Form 8852 to the Department of the Treasury. For a Nevada casino, if a currency transaction exceeds \$10,000 and is suspicious, it must file both Form 8852 (reporting the currency transaction) and a suspicious activity report (reporting the suspicious aspects of the transaction). For a casino in a jurisdiction other than Nevada, it must file Form 8362 (reporting the currency transaction) and should voluntarily file a suspicious activity report (reporting the suspicious aspects of the transaction). If the suspicious activity involves a currency transaction that is \$10,000 or less, a Nevada casino is only required to file a suspicious activity report.

Where and How To Make A Report:

1. Send each completed Suspicious Activity Report by Casinos (SARC) to:

FinCEN
 Detroit Computing Center (DCC)
 ATTN: SARC
 P.O. Box 32621
 Detroit, MI 48232-5980

- 2. Complete each suspicious activity report (including a corrected or supplemental report) in its entirety **using all available information**. Leave blank any items that do not apply or for which information is unavailable.
- 3. Do **not** submit supporting documentation when filing the suspicious activity report. Instead, retain a copy of the SARC and all original supporting documentation or business record equivalent (including any video or audio tapes) for 5 years from the date of filing the suspicious activity report. All supporting documentation must be made available to appropriate authorities upon request.
- 4. A report must be **typed or legibly handwritten**.
- 5. A casino may also file a copy of a suspicious activity report with its state, local or tribal gaming regulator.
- 6. In situations that require immediate attention (*i.e.*, where a delay would hinder the government's ability to take action), a casino shall notify an appropriate law enforcement authority in addition to filing a SARC.

7. FinCEN is preparing "Guidance for Detecting and Reporting Suspicious Casino Transactions and Activities" which will contain a representative list of examples of suspicious casino transactions and activities. The examples will explain some of the ways a casino's financial services can be used to commit fraud, launder funds or commit other financial crimes regardless of where this activity may occur in a casino. After the guidance is issued in final, casinos will be able to obtain copies of it from FinCEN or the Internal Revenue Service's BSA Bulletin Board at (313) 234-1453, or at FinCEN's Internet Homepage (<http://www.fincen.gov>). Casinos subject to Nevada Gaming Commission Regulation 6A should refer to any suspicious activity guidance provided by the Nevada Gaming Control Board. Casinos located in other jurisdictions also are encouraged to consult with the appropriate state, local, or tribal gaming regulatory agency to determine if any additional guidance has been issued on this subject.

Specific Document Preparation Instructions

Item 1. Type of report.--Check box a, Initial Report, if this is the first report of the described suspicious activity. Check box b, Corrected Report, if this report is filed to correct a previously filed SARC. Check box c, Supplemental Report, if this report is filed to provide additional information to a previously filed SARC. Check box d, CTRC or CTRC-N Filed, if both a Currency Transaction Report by Casinos (*i.e.*, Form 8362 or Form 8852) and a suspicious activity report by casinos (reporting the suspicious aspects of the transaction) were filed.

Part I. Casino Reporting Information

Item 2. Casino's trade name.--Enter the name by which the casino does business and is commonly known. Do not enter a corporate, partnership, or other entity name unless such name is the one by which the casino is commonly known.

Item 3. Casino's legal name.--Enter the legal name as shown on required tax filings, only if different from the trade name shown in Item 2. The legal name should match the name shown on the charter or other document creating the entity, and which is identified with the casino's established tax identification number.

Item 4. Employer identification number.--Enter the institution's nine-digit employer identification number.

Items 5, 6, 7 and 8. Permanent address.--Enter the street address, city, two-letter state abbreviation used by the U.S. Postal Service and ZIP code of the casino (or branch office) where the activity occurred. A Post Office (P.O.) box number should be used only if there is no other street address.

Item 9. Type of gaming institution.--Check the appropriate box for the type of gaming institution. Check box a if you are a land-based or riverboat casino that is duly licensed by a State, Territory or Insular Possession of the United States. Check box b if you are a tribal casino (*i.e.*, a Class III gaming operation). Check boxes a and b if you are a tribal casino duly licensed by a state gaming regulatory agency. Box c is provided for a card club, gaming club, card room or gaming room (including one operating on Indian lands) that files a report of a suspicious transaction or activity. If you check the **Other** box, be sure to specify the type of gaming institution.

Part II. Suspect Information (Person Involved in Suspicious Activity)

Items 10, 11 and 12. Individual's name.--Enter the last name of the individual involved in the suspicious activity in Item 10, first name in Item 11 and middle initial in Item 12. If there is no middle initial, leave Item 12 BLANK. If the casino determines that the suspect has an "alias" or "A.K.A.", enter the individual's full legal name in Items 10, 11 and 12 and the alias name(s) used in Part VIII. If there is more than one individual (*e.g.*, multiple customers or a customer and an agent), make as many copies of page 1 of the form as are necessary and provide the additional information in Part II. Also, complete Items 2, 3 and 4 and write the date of the suspicious activity across the top of page 1.

Item 13. SSN/ITIN.--If the individual whose name you entered in Items 10 through 12 is a U.S. citizen or an alien with a social security number, enter his or her social security number (SSN) in Item 13. If that individual is an alien with an IRS Individual Tax Identification Number (ITIN), enter that number in Item 13.

Items 14, 16, 17, 18, and 19. Permanent address.--Enter the permanent street address, city, two-letter state abbreviation, and ZIP code of the individual whose name you entered in Items 10 through 12. Also, enter in Item 14 any apartment number or suite number and road or route number. Do not enter a P.O. box number in Item 14 unless the individual has no street address. If the individual is from a foreign country, enter any province name as well as the appropriate two-letter country code in item 19. If the country is the United States, leave Item 19 BLANK.

Item 15. Date of birth.--Enter the date of birth of the individual named in Items 10 through 12,. Eight numerals must be entered for each date. Enter the date in the format "mmddyyyy", where "mm" is the month, "dd" is the day, and "yyyy" is the year. Zero(0) should precede any single-digit number. For example, if the individual's birth date is June 1, 1948, enter "06 01 1948" in Item 15. If the month and/or day is not available or is unknown, enter zeros in the space for "mm" or "dd" (e.g., "01 00 1969" indicates an unknown day in January, 1969).

Item 20. Organization's name.--If the individual named in Items 10 through 12 is acting as an agent for an organization which is conducting business with the casino, enter the organization's name in Item 20 and the organization's address in Part VIII. If an organization has a separate "doing business as" (DBA) name, enter the organization's legal name (e.g., Smith Enterprises, Inc.) followed by the name of the business (e.g., DBA Smith Casino Tours) in Part VIII.

Item 21. EIN.--If you entered an organization name in Item 20, enter the employer identification number in Item 21.

Item 22. Describe identification credential.--If the individual's identity was verified, check the appropriate box in "a, b, c or d" showing the type of any document used. If you check the **Other** box, specify the type of document used (e.g., enter "military ID" for a military or military/dependent identification card). If a driver's license, passport, alien registration card, etc. was used to verify the individual's identity, enter in Item 22e the number of the document and enter in Item 22f the two-letter state postal code (e.g., IL for Illinois, NJ for New Jersey, etc.) or two-letter country code (e.g., CA for Canada, JA for Japan, etc.) or the name of the issuer for that document.

Item 23. Residence phone number.--Enter the home telephone number including area code of the individual listed in Items 10 through 12.

Item 24. Work phone number.--Enter the work telephone number including area code of the individual or organization listed in Items 10 through 12.

Item 25. Account number, if any.--Enter the customer's account number of any account that was affected by the suspicious activity. If two or more accounts are affected, provide the additional information in Part VIII. If the activity does not involve an account, enter "NOT APPLICABLE" in the space.

Item 26. Occupation/type of business.--Identify the occupation, profession or business that most specifically describes the individual or organization shown in Items 10 through 12 or 20 (e.g., accountant, attorney, car dealer, carpenter, check cashing operator, dentist, doctor, farmer, junket or tour operator, real estate agent, truck driver, etc.). **Do not** use nondescriptive terms such as businessman, construction worker, merchant, self-employed, store owner, etc. If the individual's business activities can be described more fully than just by occupation, provide additional information in Part VIII. Enter "UNKNOWN" in Item 26, if you do not know the individual's occupation or profession.

Item 27. Relationship to casino.--Check the box or boxes that best describe the suspect's relationship with the casino. More than one box may be checked. If you check the **Other** box, be sure to specify briefly (in two or three words) the relationship. If the suspect is or was an employee of the casino, check box d and specify in Part VIII the suspect's position (e.g., dealer, pit supervisor, cage cashier, host, director of marketing, officer, etc.) and his/her involvement in the suspicious activity.

Item 28. Does casino still have a business association and/or an employee/employer relationship with the suspect?--If you check box b for "No", indicate what action occurred that ended the relationship with the person in box c, d, e or f. Indicate whether the person has been barred (*i.e.*, the person was precluded from engaging in any further gaming transactions), resigned (*i.e.*, the person voluntarily left the employment of the casino or otherwise severed all ties), terminated (*i.e.*, the person was discharged from employment or contractual obligation) or otherwise ended the relationship in some different way. If you check the **Other** box, specify briefly (in two to five words) how the relationship ended (*e.g.*, denial of credit, denial of other financial services; etc.).

Item 29. Date barred, resigned or terminated.--Enter the date the individual was barred, resigned or terminated. Eight numerals must be entered for each date. Enter the date in the format "mmddyyyy", where "mm" is the month, "dd" is the day, and "yyyy" is the year. Zero(0) should precede any single-digit number.

Part III - Suspicious Activity Information

Item 30. Date of suspicious activity.--Enter only one date in this item. Refer to instructions for Item 29 for proper date format. This must be the date the initial suspicious activity occurred. For multiple or related occurrences, show any additional dates in Part VIII. If the same individual or organization commits multiple or related activities within 30 calendar days after the date of initial detection, the casino may report the activity on one SARC, but only if doing so will fully describe what has occurred. A supplemental report must be filed for other related activity committed after the initial 30 day detection period.

Item 31. Dollar amount involved in suspicious activity.--Enter the total dollar value of the funds or assets involved in the suspicious activity committed by the same individual or organization within 30 calendar days after the date of initial detection. The total dollar value entered **must** be in the form of numerics. For multiple or related activity, show the breakdown of the aggregated total in Part VIII. Use whole dollars only. If less than a full dollar amount is involved, increase that figure to the next highest dollar. If the dollar amount cannot be determined or estimated then the value of this item can be zero (0).

Item 32. Type of suspicious activity.--Check the box or boxes which best identify the suspicious activity, up to a limit of four. If you check the **Other** box, specify briefly (in two or three words) the type of suspicious or suspected illegal activity which occurred, but is not already listed in Item 32. For guidance on what may be suspicious activity, see item 7 in "Where and How to Make a Report" on page 6.

Part IV. Law Enforcement Agency Information

Item 33. Has a law enforcement agency been contacted?--Check box a if you have advised any law enforcement agency of the suspicious activities by telephone or written communication (*excluding submission of a SARC to FinCEN at DCC or a copy to a gaming regulator*). If you check box a, complete either box "c, d, e, f, g, h, i, j or k" as well as Items 34 through 43. Box e, "USCS" stands for the U.S. Customs Service. Box f, "USSS" stands for the U.S. Secret Service. If you checked boxes "g, h, i, j or k" for other federal, state gaming, other state, local or tribal agencies, specify the agency name in box l. If you checked boxes "c, d, e, or f", there is no need to complete box l. If you have not contacted any law enforcement agency, check box b to indicate "No" and go to Item 44.

Items 34, 35, 36, 37, 38, 39, 40, 41, 42 and 43. Contacting law enforcement.--If you checked Item 33a to indicate "Yes", list the agency's address, date contacted, telephone number and person contacted in Items 34 through 43. If more than one law enforcement agency has been contacted, specify the other agency's name, address and person contacted in Part VIII. Refer to instructions for Item 5 for proper address format and Item 29 for proper date format.

Part V. Witness Information (if applicable)

Items 44, 45, and 46. Witness's name.--If there was a witness to the suspicious activity, enter that person's name in Items 44 through 46. This witness may or may not be an employee of the casino. If there is more than one witness (i.e., a group of witnesses or several addresses), make as many copies of page 2 of the form as are necessary and provide the additional information in Part V. Also, complete Item 30 and write the casino's legal name, casino's trade name and EIN across the top of page 2.

Item 47. Title/Occupation.--If the witness is a casino employee, enter the witness's job title. If not, enter the witness's occupation or business.

Items 48, 50, 51, 52 and 53. Permanent address.--Enter the witness's permanent street address, city, two-letter state abbreviation, and ZIP code. Also, enter in Item 48 any apartment number or suite number and road or route number. Do not enter a P.O. box number in Item 48 unless the witness has no street address. If the individual is from a foreign country, enter in Item 53 any province name and the appropriate two-letter country code. If the country is the United States, leave Item 53 BLANK.

Item 49. Date of birth.--Enter the witness's date of birth. Refer to the instructions for Item 15 for proper date format.

Item 54. Residence phone number.--Enter the witness's residence telephone number including area code.

Item 55. Work phone number.--Enter the witness's work telephone number including area code.

Item 56. Was witness interviewed by casino or a law enforcement agency?--Check box a if the witness was interviewed by personnel of the casino or a law enforcement agency. Enter the name of the individual who interviewed the witness, the interviewer's organization name, and summarize the substantive information from the interview in Part VIII. If no witness was interviewed, check box b.

Part VI. Preparer Information

Items 57, 58, and 59. Preparer's name.--Enter the person's name who prepared this SARC.

Item 60. Title.--Enter the preparer's job title.

Item 61. Work phone number.--Enter the preparer's work telephone number including area code.

Item 62. Date prepared.--Enter the date prepared. Refer to the instructions for Item 29 for proper date format.

Part VII. Contact for Assistance (if different from Preparer in Part VI)

Note: Complete Part VII only if assistance will be provided by someone other than the preparer.

Items 63, 64, and 65. Contact's name.--Enter the name of the contact.

Item 66. Title.--Enter the contact person's job title.

Item 67. Work phone number.--Enter the contact person's work telephone number including area code.

Item 66. Organization name.--If the contact person is employed by an organization other than the reporting casino in Item 2, enter the name of the organization.

Part VIII. Suspicious Activity Information

Note: See pages 3-4 for instructions on how to complete Part VIII.