



November 8, 2016

To update, change, add, or delete your financial institution's 314(a) point of contact information, you must contact your primary federal supervisory agency.

Please find **your institution's primary federal regulator** or self regulatory organization in the below list and forward the above information to them.

**Board of Governors of the Federal Reserve System**

In addition to providing 314(a) contact information on the quarterly Call Report, institutions regulated by the Federal Reserve must also provide point of contact changes or additions via email to [patriotact@frb.gov](mailto:patriotact@frb.gov)

Questions: Cheryl Paret, Senior Financial Analyst at (202) 452-3675 or Jennifer White, Supervisory Financial Analyst at (202) 452-3964

**Commodity Futures Trading Commission**

***Contact information:***

E-mail: [AMLstaff@cftc.gov](mailto:AMLstaff@cftc.gov)

Fax: (202) 418-5528

Questions: Helene D. Schroeder, Special Counsel, Division of Swap Dealer and Intermediary Oversight at (202) 418-5424

**Federal Deposit Insurance Corporation**

***Contact information:***

All changes must be made by the bank through the quarterly Call Report or by filing an amended Call Report

(800) 688-FDIC; (800)688-3342

E-mail: [insurance-research@fdic.gov](mailto:insurance-research@fdic.gov)

Fax: (202) 898-3627

Questions: Anti-Money Laundering Section at (202) 898-6783

E-mail: [fdicadvisory@fdic.gov](mailto:fdicadvisory@fdic.gov)

**Financial Industry Regulatory Authority**

***Contact information:***

For changing POCs or adding a new contact, a FINRA member must update its Anti-Money Laundering Compliance Contacts through the FINRA Contact System at the link below. Each Anti-Money Laundering Compliance Contact (Primary and Alternate) will be added to the FinCEN distribution list.

<https://firms.finra.org/fcs>

Questions: Gateway Call Center at (301) 869-6699

### **National Credit Union Administration**

#### ***Contact information:***

All changes must be made in the online credit union profile in NCUA's Credit Union Online system by updating the Patriot Act contact on the Contacts Tab. Credit unions can access Credit Union Online at <https://www.ncua.gov/regulation-supervision/Pages/regulatory-reporting/cu-online.aspx>.

#### ***Questions - Federal Credit Unions:***

Contact your NCUA district examiner. If you cannot locate your examiner, contact the NCUA regional office that oversees your state. NCUA regional office contact information is posted on the NCUA Website <http://www.ncua.gov/>. From the NCUA home page, select *Contact Us* and scroll to the bottom of the page. Email and telephone contact information is shown for each region. A direct link follows: <http://www.ncua.gov/about/pages/Contact.aspx>. If you are unsure which region supervises your state, click on the region's name and a list of supervised states will be shown.

***Questions - State Chartered Credit Unions:*** Contact your state regulator.

### **National Futures Association:**

#### ***Contact Information:***

E-mail: [AML@nfa.futures.org](mailto:AML@nfa.futures.org)

Fax: (312)559-3419

Questions: Valerie O'Malley, Compliance Department at (312)781-1290

### **Office of the Comptroller of the Currency**

All changes must be made through the quarterly Call Report or by filing an amended Call Report.

Fax: (301) 333-7038

Additional Information: OCC Alert 2004-6

<http://www.occ.gov/static/news-issuances/alerts/2004/alert-2004-6.pdf>

Questions: James F. Vivenzio, Senior Counsel for BSA/AML at (202) 649-5400.