



## GEOGRAPHIC TARGETING ORDER

The Director, Financial Crimes Enforcement Network (FinCEN), U.S. Department of the Treasury, is authorized to issue an order that imposes certain additional reporting and recordkeeping requirements on one or more domestic financial institutions or nonfinancial trades or businesses in a geographic area to carry out the purposes of and prevent evasions of the Bank Secrecy Act. *See* 31 U.S.C. § 5326(a); 31 C.F.R. § 1010.370; Treasury Order 180-01.

IT IS HEREBY FOUND that reasonable grounds exist for concluding that the imposition of the additional recordkeeping and reporting requirements described in this Geographic Targeting Order (“Order”) upon the Covered Businesses described below is necessary to carry out the purposes of and prevent evasions of the Bank Secrecy Act. *See* 31 U.S.C. § 5326(a); 31 C.F.R. § 1010.370.

THEREFORE, IT IS ORDERED THAT:

### **Part 1 - Definitions.**

For purposes of this Order, the following terms shall have the following meanings:

1.1 “Agent” includes every person involved in a Transaction to which this Order applies, who conducts a Transaction on behalf of another person subject to that person’s control and mutual assent to so act.

1.2 “Covered Business” means the following trades and businesses located in the Covered Geographic Area, including their agents, subsidiaries, and franchisees:

- (a) garment and textile stores;
- (b) transportation companies;
- (c) travel agencies;
- (d) perfume stores;
- (e) electronic stores (including those that only sell cell phones);
- (f) shoe stores;
- (g) lingerie stores;
- (h) flower/silk flower stores;
- (i) beauty supply stores; and
- (j) stores bearing “Import” or “Export” in its name.

1.3 “Covered Geographic Area” means the area in the City of Los Angeles, California, south of East 8<sup>th</sup> Street, north of East 16<sup>th</sup> Street, and between Santee Street and South Central Avenue.

1.4 “Currency” shall have the same meaning as provided in 31 C.F.R. § 1010.330(c)(1).

1.5 “Customer” includes every person involved in a Transaction with a Covered Business to which this Order applies.

1.6 “Funding Location” means the address where a Customer obtained the funds used in a Transaction, when the Customer is conducting a Transaction on behalf of another person.

1.7 “Funding Party” means the person that provides the funds to the Customer to effect a Transaction, when the Customer is conducting a Transaction on behalf of another person.

1.8 “Goods Location” means the address where the goods are sent as part of a Transaction, when the Customer is conducting a Transaction on behalf of another person.

1.9 “Goods Recipient” means the person receiving the goods (other than the Customer) as part of a Transaction, when the Customer is conducting a Transaction on behalf of another person.

1.10 “Order Period” means the 180-day period beginning, October 9, 2014 and ending the close of business on April 6, 2015.

1.11 “Trade or business” shall have the same meaning as provided in 31 C.F.R. § 1010.330(c)(11).

1.12 “Transaction” shall have the same meaning as provided in 31 C.F.R. § 1010.330(c)(12).

## **Part 2 - Special Reporting, Recordkeeping, and Customer Identification Obligations of the Covered Businesses.**

2.1 A Covered Business which, in the course of a trade or business in which such business is engaged, receives currency in excess of \$3,000 in 1 Transaction (or 2 or more related Transactions in a 24-hour period) shall make a report of each such Transaction or Transactions by filing a FinCEN Form 8300. Each such FinCEN Form 8300 must be:

- (a) completed in accordance with the terms of this Order and the FinCEN Form 8300 instructions (when such terms conflict, the terms of this Order shall apply); and
- (b) e-filed through the Bank Secrecy Act E-filing system.<sup>1</sup>

---

<sup>1</sup> For more information, go to this Website: <http://bsaefiling.fincen.treas.gov/main.html> and do the following: (a) review “Getting Started”; (b) fill out a Supervisory User Application Form; (c) assign the supervisory user to represent your business; (d) obtain a digital certificate; and (e) register on the system.

2.2 A Covered Business shall ensure that a Transaction that is subject to this Order is reported accurately and completely by asking for and reporting information about the person on whose behalf the Transaction is being conducted. Such information may include information identifying the person funding the Transaction or receiving goods as a result of the Transaction.

2.3 It shall be unlawful for the Covered Business to process, accept, or receive funds for, or otherwise participate in a Transaction that is the subject of this Order unless the Customer conducting the Transaction provides an officer, director, employee, or agent of the Covered Business with identification in one of the following forms:

- (a) a driver's license or an identification card issued by a State of the United States, the District of Columbia, or a Territory or Possession of the United States;
- (b) a military or military dependent identification card;
- (c) a non-resident alien registration card;
- (d) a foreign national identity card;
- (e) a passport;<sup>2</sup> or
- (f) a combination of other unexpired documents, with an individual's name and address, and a photograph.

that, in each case, is currently valid and contains the name, address, and a recognizable photograph of such Customer.

2.4 It shall be unlawful for the Covered Business to process, accept, or receive funds for, or otherwise participate in a Transaction that is the subject of this Order unless the Customer conducting the Transaction provides an officer, director, other employee, or agent of the Covered Business with a written certification regarding whether such Customer is conducting the Transaction on behalf of another individual, organization, or business, and, if so, report all required information in Part II of the Form 8300 (Person on Whose Behalf This Transaction Was Conducted).

2.5 It shall be unlawful for the Covered Business to process, accept, or receive funds for, or otherwise participate in a Transaction that is the subject of this Order unless the Customer conducting the Transaction provides an officer, director, other employee, or agent of the Covered Business with the telephone number for the Customer involved in the Transaction. In the event that the Customer is conducting the Transaction on behalf of another individual, organization or business, the Covered Business must obtain, in addition to the other information required in Part II of the Form 8300, a telephone number for the Funding Party and the Funding Location, and enter such information in the comments section on the Form 8300. To indicate that this section contains information regarding the "Funding Party" or the "Funding Location" the comments section entry should include the phrase "Funding Party Phone Number" or "Funding Location." For example: Funding Party Phone number: [insert phone number] or Funding Location: [insert address].

---

<sup>2</sup> Because a passport does not contain an individual's permanent address, when a Customer provides a passport as form of identification, a Covered Business would need to review additional identification that specifies the Customer's address.

2.6 It shall be unlawful for the Covered Business to process, accept, or receive funds for, or otherwise participate in a Transaction that is the subject of this Order unless the Customer conducting the Transaction provides an officer, director, other employee, or agent of the Covered Business with the name and phone number for the Goods Recipient and the Goods Location, and enter such information in the comments section on the Form 8300. To indicate that this section contains information regarding the “Goods Recipient” or the Goods Location, the comments section entry should include the phrase “Goods Recipient Phone Number” or “Goods Recipient” or “Goods Location.” For example: Goods Recipient Phone Number: [insert phone number] or Goods Recipient: [insert name] or Goods Location: [insert address].

2.7 Any Form 8300 completed pursuant to this order will note the following unique identifier in the comment field of the form: “LAGTO2014.”

2.8 Each Covered Business must supervise, and is responsible for, compliance of each of its officers, directors, employees, or agents with the terms of this Order. A Covered Business must notify each of its officers, directors, employees, and agents of the terms of this Order, and to the extent applicable, must transmit the Order to its Chief Executive Officer.

2.9 All records relating to transactions subject to this Order and to the compliance of Covered Business therewith must: (i) be retained by the Covered Business for a period of five years from the last day of the Order Period, (ii) be filed or stored in such a way as to be accessible within a reasonable period of time, and (iii) be made available, upon request, to FinCEN, any other appropriate law enforcement agencies, or Federal, State, or tribal gaming regulators, upon request.

### **Part 3 - General.**

3.1 All questions about the Order must be addressed to the FinCEN Resource Center, at (800) 767-2825 (Monday thru Friday, 8:00 a.m. - 6:00 p.m., E.T.).

3.2 Any Covered Business, or officer, director, other employee, or agent of any Covered Business may be liable, without limitation, for civil and/or criminal penalties for violation of any of the terms of this Order.

3.3 This Order has been served upon each of the Covered Businesses subject to this Order. A copy of this Order carries the full force and effect of an original signed Order. Service of this Order, on behalf of FinCEN on each Covered Business in no way alters the provisions of this Order.

3.4 Any judicial determination that any provision of this Order is invalid shall in no way affect the validity of any other provision of this Order, and each other provision shall thereafter remain in full force and effect.

3.5 The collection of information subject to the Paperwork Reduction Act contained in this Order has been approved by the Office of Management and Budget (OMB) and assigned OMB Control Number and 1506-0056.

Dated: September 26, 2014

\_\_\_\_\_  
/s/  
Jennifer Shasky Calvery  
Director  
Financial Crimes Enforcement Network  
U.S. Department of the Treasury