For Planning. DO NOT E-File using this format until Sept. 1, 2008

BSA E-FILING ELECTRONIC FILING REQUIREMENTS FOR THE CURRENCY TRANSACTION REPORT BY CASINOS FINCEN FORM 103
Effective August 2008

Reports with formats that comply with previous versions of these requirements will not be accepted after February, 2009.

DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE

These Specifications for Electronic Filing of Currency Transaction Reports by Casinos (FinCEN Form 103) were developed under the sponsorship of the following:

Department of the Treasury

Director, Financial Crimes Enforcement Network

Internal Revenue Service

Director, Internal Management Systems Division
Enterprise Computing Center - Detroit

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Revision History

Version Number	Date	Reason for Change
1.0	2/26/08	Finalized CTR-C Electronic File Specifications
1.1	3/31/2008	Additional clarification provided for the following fields: - Individual First Name, Item 4 - Date of Birth, Item 13 - Identification Credentials, Item 15 - Casino legal Name, Item 36
1.2	5/22/2008	Corrected the 2C record, Id Number field, (positions 257-278) and Customer Account Number field, (positions 279-300) to be Left Justified. Updated the description and remarks for the 2B record, Employer Identification Number (EIN) field, (positions 21-29). The description previously stated "Enter the EIN as assigned by the IRS" and now states "Enter the EIN **of the filer** as assigned by the IRS.".
1.3	6/6/3008	Expansion of error and omission reporting process contained in the "How to File Amended CTRCs to Invalid Files" Section.

Purpose

The purpose of this specification package is to provide the requirements and conditions for electronically filing FinCEN Form 103, the Currency Transaction Report by Casinos (CTRC) information. For the purpose of these specifications, electronic filing of this report will be through the BSA E-Filing System operated by the Financial Crimes Enforcement Network (FinCEN). For more information on the BSA E-Filing System and to register please go to http://bsaefiling.fincen.treas.gov/index.jsp. This document should be used in conjunction with the "General Specification for Electronic Filing of Bank Secrecy Act (BSA) Reports" (General Specifications) available at http://www.fincen.gov/General_BSA_Reports_E-Filing_Specs_020907.pdf.

The electronic files forwarded to the Enterprise Computing Center - Detroit (ECC-D) by the BSA E-Filing System will be considered a substitute for the Form 103 paper document, provided the transaction is accepted by the ECC-D system. Receipt and acknowledgement of electronic files is further discussed in a later section.

Approval to participate in the electronic filing program is contingent upon the filer following these steps:

- · Review specifications
- · File application to participate
- · Satisfy acceptance testing procedures
- · Receive formal ECC-D approval
- · Monitor quality

Continued participation in the BSA E-Filing Program is contingent upon maintenance of quality standards and timely reporting.

Application for Electronic Filing Reporting

For purposes of these specifications, the Casino is the agency preparing the CTRCs and the Transmitter is the organization preparing the electronic file. The Casino and Transmitter may be the same or different organizations.

Generally, card clubs are subject to the same rules as Casinos, unless a different requirement for card clubs is explicitly stated in 31 CFR Part 103. Therefore, the term "Casino" when used in this document includes a reference both to a Casino and to a card club.

Application for Electronic Filing Reporting *(continued)*

Casinos and their transmitters must complete and send to ECC-D an "Application for Electronic Reporting of Currency Transactions" (Form DCC-4419 - http://www.fincen.gov/mag_med_appl_pg1-2.pdf) and a Declaration Statement (Form 4800-D - http://www.fincen.gov/mag_med_declaration.pdf). The Declaration Statement is an agreement between ECC-D and the Casino or Transmitter relative to filing CTRCs electronically. Only a single application containing both of these forms should be submitted.

ECC-D will review the application and provide the applicant, in writing, a test Transmitter Control Code (TCC) and Location Control Code (LCC). This test TCC will be used for submitting a test file to the BSA E-Filing System test site. For information on receiving an account on the BSA E-Filing System test site, please contact the BSA E-Filing Help Desk at 1-888-827-2778 (option 6) or BSAEFilingHelp@notes.tcs.treas.gov. The test file will be validated for proper format and adherence to the file specifications described in this document. Once the file is approved, a production TCC and LCC will be provided to the applicant that will be used for filing production files on the BSA E-Filing System production site. Electronic reports may not be filed until a file has been tested and approved and a production TCC code issued. .

After you have received approval to file electronically you need not reapply each year; however please notify BSA-E-Filing if:

- You discontinue filing electronically.
- You have used a transmitter to prepare your electronic file but now prepare the transmission from your own computer, or
- You change software or software provider

If any of these conditions apply to you, contact BSA E-Filing System for clarification and guidance. Also, notify the BSA E-Filing Help Desk if you had hardware or software changes that would affect the characteristics of your electronic submission. Please use your TCC and LCC in all contacts with the BSA E-Filing Help Desk. The BSA E-Filing Help Desk can be contacted at 1-888-827-2778 (option 6) or BSAEFilingHelp@notes.tcs.treas.gov.

Filing of Electronic Reports

ECC-D will advise electronic filers when they may begin to file using the BSA E-Filing System. Until this notification has been issued, electronic filing will not be accepted.

Do not file a paper Form 103 for Casino transactions reported by electronic means.

If entire files are unreadable due to formatting or file structural errors, etc. the BSA E-Filing System will reject the file and will notify the filer via e-mail and through the Tracking Status page when the file is submitted to the system. The errors on the file will be reported to the filer and the filer must correct these errors and send a replacement for the file. Invalid transactions on a file, however, should be corrected and resubmitted as part of the file for the next full reporting period. A filer whose error rates are at a high level on a continuing basis may risk being discontinued as an electronic filer.

Aggregation of Casino information is to be automated if possible, however, the requirement for aggregation is no different using electronic filing than it would be if filing paper CTRCs.

Filing Requirements

Files must be prepared and sent electronically to the BSA E-Filing System for processing as soon as possible after the reporting period ends. A fixed reporting cycle can be established for each filer but the BSA E-Filing System can accept filings on any schedule determined by the filer.

In order to allow the filer sufficient time to accumulate data, create the electronic file, and submit the file to the BSA E-Filing System, FinCEN has determined that all transactions filed electronically will be considered filed timely if received by ECC-D no more than 30 days after the date of the transaction.

Amendments to a paper-filed CTRC must be filed on a paper form following the form instructions for amending a prior report.

BSA regulations require that filers retain a copy of the CTRC data or have the ability to reconstruct the data filed electronically for a period of five years. In addition, the electronic filer must retain the acknowledgment file from the BSA E-Filing System to facilitate inquiries for the same period as well as any "working" papers that may be necessary for centralized processing of transactions.

Test Files and Acceptance Procedures

ECC-D and the BSA E-Filing System staff will assist new filers with their initial electronic submission by reviewing a test file. When ready to file, the filer will be asked to provide a test file. The testing process will be as follows:

- The test data must be formatted according to the current requirements. The information in the "1A" and "2A" records, which defines the filing Casino, must be actual information - not fictitious.
- Test data should consist of a set of sample Casino forms, containing data normally supplied by the filer. The test file must contain at least 50, but not more than 100 CTRCs.
- Upon receipt of the test file, ECC-D and the BSA E-Filing System staff will review and provide feedback to the filer within ten working days.
- If the file is correctly formatted, a letter granting final acceptance will be issued by the ECC-D allowing the filer to participate in the electronic BSA E-Filing System program.
- When a test file is not acceptable, ECC-D and the BSA E-Filing System staff will identify the errors and discuss the necessary corrections with the filer. When the errors are corrected, the filer should send a new set of test data to the BSA E-Filing System. The filer is responsible for correcting their software to eliminate errors. If, after three attempts, the filer's test file continues to be unacceptable, the filer must develop an acceptable plan for correcting deficiencies before any further tests.
- Approval to participate in the program applies to the system compatibility only and not to the accuracy relative to the requirements of the Bank Secrecy Act and the implementing regulations. Thus, approval for electronic filing only means that the format is acceptable and adheres to the current specifications for electronic filing.
- Accepted filers will be notified and issued an eight character production TCC. In addition, a five character production LCC will be assigned, as some Casinos have multiple locations.

Receipt and Acknowledgment of Electronic Files

Each electronically filed CTRC will be acknowledged within two business days to the filer after receipt. The filer should immediately match the acknowledgments to the original file transmitted.

If the filer does not receive the acknowledgement file, the CTRCs **are not considered filed and must be resubmitted.** This could be a result of improperly formatted electronic file, which cannot be processed and is rejected by the system. The BSA E-Filing System will notify the filer electronically of any errors in the submitted electronic file which caused the file to be rejected. The filer must correct these errors and resubmit the file in order for the file to be acknowledged.

Receipt and Acknowledgment of Electronic Files (*continued*)

Any CTRC that is processed, but contains transaction errors will be flagged and error codes indicating the reason for the error(s) will be returned via an acknowledgment file. These error situations must be corrected and the records sent back to ECC-D through the BSA E-Filing System as an amendment. The acknowledgment record will include only error codes and the minimum information needed to identify individual CTRCs. **The logical record length of each acknowledgment record will be 80 characters**. It will contain up to the first eight error codes. See the Acknowledgment Record Format section for the exact specification of the file.

ECC-D acknowledges receipt by inserting a Document Control Number (DCN) in the DCN field of the CTRC "2B", "2C" and "2D" Records. **The DCN number must be included** when resubmitting amendments for those records flagged because of errors.

How to File Amended CTRCs to Invalid Files

If a CTRC that was prepared and submitted by electronic filing must be amended, you must file a complete replacement record upon identification of an error. **All fields must be completed with the correct information, NOT JUST THE DATA FIELDS NEEDING CORRECTION.** If the original CTRC was submitted by electronic filing, then any amendments must also be filed by electronic filing. Amended reports for electronically filed documents will not be accepted on paper. **Amendments to electronically filed documents must include the DCN specified on the acknowledgment record, along with the amended indicator.**

ECC-D checks each document filed for errors as indicated in the Error Code List located later in this document. If errors are found, the record is marked and the error codes are returned to the filer on the acknowledgment record. However, the CTRC is accepted as filed and becomes part of the ECC-D database even though it contains errors. CTRCs with errors are to be corrected and re-submitted as part of the next reporting period file when the information is readily available. When not readily available (*e.g.*, Item 30 was checked and the customer does not have: (a) a casino check cashing, credit or deposit account or (b) a previously filed FinCEN Form 103 or federal tax form containing the customer's missing identification information), then correct and resubmit the CTRC whenever the correct data can be obtained from: (a) a reporting agency that provides on-line searching of customer identification information for those that applied for casino credit as well as have outstanding casino debts, (b) public on-line database search engines that do not require a subscription; (c) organizations that provide subscription services to businesses and governmental agencies containing individuals' personal identification information from their commercial databases, or (d) the customer returning to the casino and conducting transactions that the casino has obtained

knowledge.

Any filer whose error rates are at a high level on a continuing basis may risk being suspended as an electronic BSA E-Filer. Amendments to documents with errors must be forwarded electronically, and the DCN that ECC-D has assigned to the original record must be included. (The DCN can be found on the returned acknowledgment file in the "2B", "2C" and "2D" records and must be placed in the "2B" record when amendments are made to previously filed CTRCs.

How to File Amended CTRCs to Invalid Files (*continued*)

If files are unreadable due to format errors, structural problems, etc., or can not be processed due to file errors, the filer will be notified via the BSA E-Filing System of a file Reject. The system will provide the exact file format error in the Track Status section of the BSA E-Filing System web site.   Invalid files are to be corrected and re-submitted as soon as possible to prevent them from being considered as filed late.

Contacts for Assistance

Compliance Related and Forms Questions:

Direct all Bank Secrecy Act (BSA) compliance related inquires and BSA Forms completion questions to the FinCEN BSA Resource Center's Regulatory Helpline at 1-800-949-2877.

Technical Filing Questions:

Electronic submissions for CTRCs are processed via the BSA E-Filing System. Specific information on the BSA E-Filing System can be directed to the BSA E-Filing Help Desk at 1-888-827-2778 (option 6) or BSAEFilingHelp@notes.tcs.treas.gov. The Help Desk regular hours of operation are 8:00 am - 6:00 pm East Coast Time, Monday - Friday. Please note that the Help Desk is closed on federal holidays.

File Specifications

The specifications contained in the following sections define the required file format and contents of the records to be included in the BSA E-Filing System submission.

All records must be a fixed length of 520 characters.

File Organization

All incoming data must be in the expected format. An asterisk (*) denotes a mandatory record for the file and for each transaction.

Based on the filer's application, ECC-D keeps identifying information on file for the reporting Casino. This data includes the transmitter code, transmitter and casino names, addresses, EINs, and location codes (TCC and LCC are assigned by ECC-D). The Transmitter and Header records use this information to correctly identify the transmitter and the filer. Any records that do not match ECC-D files cannot be processed.

* **Transmitter (1A) Record**

   This must be the first record on the file. There can be only one of these records.

* **Casino Location Header (2A) Record**

   There must be one of these records for each Casino location included on the file. This record must immediately precede all records relating to that Casino location.

* **Transaction Summary (2B) Record**

   There must be one Transaction Summary Record for each transaction reported. This record will be the first for each transaction.

* **Customer (Part I -Section A) (2C) Record**

   There must be at least one customer record for each transaction. There may be up to 99 of this record type depending on the number of persons or organizations who are customers of this transaction.

Agent (Part I - Section B) (2D) Record

   This record must be filed if a person(s) acted as an agent for the customers of this transaction. This record is not required if the customer conducted the transaction on his own behalf. There may be up to 99 of this record.

File Organization (*continued*)

∗ **Location Summary (8A) Record**

There must be one of this record type for each Casino Location reporting on the file. It is the control record for the reporting casino location. It must be the last record associated with the casino location.

∗ **Casino Summary (8B) Record**

There must be one of this record type for each Casino reporting. It must be the last record associated with the Casino and will follow the last Location Summary Record for the Casino.

∗ **File Summary (9Z)**

There must be one of these records on the file and it must be the last record on the file.

Records that fail to meet these requirements will be rejected by the BSA E-Filing System and returned to the filer for corrections.

Overview of File

All original, replacement, amendment, and late report filings can be submitted electronically to the BSA E-Filing System. The DCN must also be included for amendments, along with the amendment indicator code.

Money amounts are 12 or 15 positions and should be right justified and zero filled. Enter dollar amounts only. All cents should be rounded up to the next higher dollar amount (i.e., $10,000.06 should be reported as $10,001). Blank dollar amount fields must be space filled.

The transaction sequence number, which is generated by the transmitter, will be used in the acknowledgment records sent back to the transmitter. This will be a five digit field starting with one and incremented by one for each succeeding currency transaction report by casino. It is to be right justified and zero filled.

All alpha characters entered in the file must be uppercase.

All validation and consistency edits are specified in the Error Code List provided later in this document.

Record Layouts

Transmitter (1A) Record

This record identifies the transmitter of the file (person or organization handling the data accumulation and formatting). There can be only one "1A" Record on each electronic file and it must be the first record. All data elements for this record are required.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "1A".
3-57	Transmitter's Name	55	REQUIRED. Enter the name of the individual or organization that is transmitting this file. Left justify and space fill unused positions. Please use the format of LastName FirstName MiddleInitial with spaces between each element.
58-107	Transmitter Street Address	50	REQUIRED. Enter the street address of the transmitter. Do not abbreviate or use a post office box number. Left justify and space fill unused positions.
108-132	Transmitter City	25	REQUIRED. Enter the city of the transmitter. Do not abbreviate city name. Left justify and space fill unused positions.
133-134	Transmitter State	2	REQUIRED. Enter the state of the transmitter. Use the correct abbreviation from http://www.fincen.gov/country_and_state_codes.pdf
135-143	Transmitter ZIP Code	9	REQUIRED. Enter the valid 9-digit ZIP Code of the transmitter. If only the first 5 digits are known, left justify and fill the unused positions with spaces.
144-146	Transmitter Area Code	3	REQUIRED. Enter the telephone area code of the transmitter.
147-153	Transmitter Telephone	7	REQUIRED. Enter the telephone number of the transmitter.
154-208	Transmitter Contact Name	55	REQUIRED. Enter the name of the person who is the official contact for this file. Left justify and space fill unused positions. Please use the format of LastName FirstName MiddleInitial with spaces between each element.
209-217	Transmitter's Federal EIN	9	REQUIRED. Must be the valid 9-digit number assigned to the transmitter by IRS and must match the transmitter name. Do not enter hyphens, slashes, alpha characters, or invalid entries such as all 9s or all zeroes.
218-225	Coverage Beginning Date	8	REQUIRED. Enter the earliest original transaction date on the file. Enter as a numeric 8-position field in format: month, day, century, year (MMDDCCYY).

Transmitter (1A) Record (continued)

Field Position	Field Title	Field Length	Description and Remarks
226-233	Coverage Ending Date	8	REQUIRED. Enter the latest original transaction date on the file. Enter as a numeric 8 position field in format: month, day, century, year (MMDDCCYY).
234-241	Transmitter Control Code (TCC)	8	REQUIRED. Enter the 8-character TCC assigned by ECC-D.
242-245	Format Indicator	4	REQUIRED. Enter "0051".
246-510	Filler	265	Space filled.
511-520	User Field	10	Use this field for any descriptive information you may require, otherwise, space fill. Not included in acknowledgment file.

Casino Location Header (2A) Record

This record is required for each Casino Location reporting transactions on this file. It identifies information regarding the Casino location where reported transactions were completed. The number of Casino Location Header records will depend on the number of different casino locations reporting in the electronic file. The 2A record item numbers refer to the CTRC Form Part III.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2A".
3-10	Transmittal Control Code	8	REQUIRED. Enter the TCC assigned by ECC-D.
11-15	Location Code	5	REQUIRED. Enter the location code for the submitting Casino location as assigned by ECC-D. Do not use all zeroes.
16-70	Casino Trade Name Item 35	55	REQUIRED. Enter the name by which the Casino does business and is commonly known. Use the standard name editing instructions in the General Specifications. Left justify and space fill unused positions.
71-125	Casino Legal Name Item 36	55	REQUIRED. Enter the legal name of the Casino. The name must match the EIN. Use the standard name editing instructions in the General Specifications. Left justify and space fill unused positions.
126-134	Casino EIN Item 37	9	REQUIRED. Must be the valid 9-digit number assigned to the Casino by IRS and must match the Casino Legal Name. Do not enter hyphens, slashes, alpha characters, or invalid entries such as all 9s or all zeroes.
135-184	Casino Street Address Item 38	50	REQUIRED. Enter the street address of the Casino. Do not abbreviate or use a post office box number. Left justify and space fill unused positions.
185-209	Casino City Item 39	25	REQUIRED. Enter the city of the Casino. Do not abbreviate city name. Left justify and space fill unused positions.
210-211	Casino State Item 40	2	REQUIRED. Enter the state code of the Casino. Use the correct abbreviation from http://www.fincen.gov/country_and_state_codes.pdf.
212-220	Casino ZIP Code Item 41	9	REQUIRED. Enter the valid 9-digit ZIP Code of the Casino. If only the first five digits are known, left justify and space fill.
221	Type of Gaming Institution Item 42	1	REQUIRED. Enter the Type of Gaming Institution code. Code Type of Gaming Institution A State Licensed casino B Card club C Tribal Authorized casino Z Other (specify)

Casino Location Header (2A) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
222-245	Other Type Description Item 42z	24	Required if "Z" is used for the Type of Gaming Institution in Position 221, enter a description for Type of Gaming Institution. Left justify and space fill unused positions.
246-265	Approving Official's Title Item 43	20	Enter the official title of the approving official. Left justify and space fill unused positions.
266-320	Preparer's Name Item 46	55	Enter the name of the preparer. See the General Specifications for name editing conventions. Left justify and space fill unused positions Please use the format of LastName FirstName MiddleInitial with spaces between each element.
321-375	Contact Person's Name Item 47	55	Enter the name of the contact person. See the General Specification for name editing conventions. Left justify and space fill unused positions. Please use the format of LastName FirstName MiddleInitial with spaces between each element.
376-378	Contact Person's Area Code Item 48	3	Enter the contact person's area code.
379-385	Contact Person's Telephone Number Item 48	7	Enter the contact person's telephone number.
386-393	Date of Signature Item 45	8	Enter the date the transaction was approved in MMDDCCYY format.

394	Resolution Code	1	REQUIRED. Enter the code to indicate where correspondence relating to these transactions should be sent.
			    Code Type of Resolution
			     2 Casino Headquarters
			     3 Casino Location
			     4 Transmitter

395-510	Filler	116	Space Filled.
511-520	User Field	10	Use this field for any descriptive information you may require, otherwise, space fill. Not included in acknowledgment file.

Transaction Summary (2B) Record

This record identifies and describes the actual casino currency transactions, provides a summary of the transaction component parts and the transaction amounts. Data fields within the Transaction Summary Record identify the counts for the Customer (2C) Records and the Agent (2D) Record. There can be a maximum of 99,999 of these record types per file, one record for each transaction. The 2B record item numbers refer to the CTRC Form Part II

NOTE REGARDING CASH-IN/CASH/OUT TRANSACTIONS (Items 31 and 32):
There must be at least one cash-in or cash out transaction recorded in the Transaction Summary (2B) Record. Filers may record up to nine cash-in transactions and up to 10 cash-out transactions in the following fields. Cash-in transactions will be recorded in field positions 31 through 147. Cash-in transaction codes and amounts will be recorded in order beginning the "First Transaction: Transaction Cash-in Code" at field position 31. Cash-out transactions will be recorded in field positions 185 through 314. Cash-out transaction codes and amounts will be recorded in order beginning the "First Transaction: Transaction Cash-out Code" at field position 184. In all transaction entries the dollar amounts will be right-justified and zero filled. All unused fields will be space filled. Note: Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified in the description and remarks columns.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2B".
3-10	Transmitter Control Code	8	REQUIRED. Enter the 8-character TCC assigned by ECC-D.
11-15	Location Code	5	REQUIRED. Enter the location code for the casino reporting transactions as assigned by ECC-D. Do not enter all zeroes.
16-20	Transaction Sequence Number	5	REQUIRED. Enter a sequential number starting with 00001 and increment by 1 for each Casino Transaction Summary (2B) Record on the file.
21-29	Employer Identification Number (EIN)	9	REQUIRED. Enter the EIN of the filer as assigned by the IRS.
30	Amends Prior Report CTRC Item 1	1	Enter an X to designate if this amends a prior report. If this transaction is an amendment to a previous transaction. A DCN must be entered in field positions 397-410.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

31	First Transaction: Transaction Cash-in Code
	
	Item 31
		1	Enter the appropriate code to describe the first cash-in transaction being reported. If no transaction code is entered, space fill this field.
			<u>Code</u> <u>Type of Transaction</u>
			  A Purchase of casino chips, tokens, and other gaming instruments
			  B Deposit (front money or safekeeping)
			  C Payment(s) on credit (including markers)
			  D Currency wager(s) including money plays
			  E Currency received for wire transfer(s) out
			  F Purchase(s) of casino check(s)
			  G Currency exchange(s)
			  H Bills inserted into gaming devices
			  Z Other Cash-in

32 – 43	First Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the First Transaction: Transaction Cash-in Code (position 31). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.

44	Second Transaction: Transaction Cash-in Code
	Item 31
		1	Enter the appropriate code to describe the second cash-in transaction being reported. If no transaction code is entered, space fill this field.
			<u>Code</u> <u>Type of Transaction</u>
			  A Purchase of casino chips, tokens, and other gaming instruments
			  B Deposit (front money or safekeeping)
			  C Payment(s) on credit (including markers)
			  D Currency wager(s) including money plays
			  E Currency received for wire transfer(s) out
			  F Purchase(s) of casino check(s)
			  G Currency exchange(s)
			  H Bills inserted into gaming devices
			  Z Other Cash-in

45-56	Second Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Second Transaction: Transaction Cash-in Code (position 44). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
57	Third Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the third cash-in transaction being reported. If no transaction code is entered, space fill this field. Code Type of Transaction A Purchase of casino chips, tokens, and other gaming instruments B Deposit (front money or safekeeping) C Payment(s) on credit (including markers) D Currency wager(s) including money plays E Currency received for wire transfer(s) out F Purchase(s) of casino check(s) G Currency exchange(s) H Bills inserted into gaming devices Z Other Cash-in
58-69	Third Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Third Transaction: Transaction Cash-in Code (position 57). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.
70	Fourth Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the fourth cash-in transaction being reported. If no transaction code is entered, space fill this field. Code Type of Transaction A Purchase of casino chips, tokens, and other gaming instruments B Deposit (front money or safekeeping) C Payment(s) on credit (including markers) D Currency wager(s) including money plays E Currency received for wire transfer(s) out F Purchase(s) of casino check(s) G Currency exchange(s) H Bills inserted into gaming devices Z Other Cash-in
71-82	Fourth Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Fourth Transaction: Transaction Cash-in Code (position 70). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
83	Fifth Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the fifth cash-in transaction being reported. If no transaction code is entered, space fill this field. Code Type of Transaction A Purchase of casino chips, tokens, and other gaming instruments B Deposit (front money or safekeeping) C Payment(s) on credit (including markers) D Currency wager(s) including money plays E Currency received for wire transfer(s) out F Purchase(s) of casino check(s) G Currency exchange(s) H Bills inserted into gaming devices Z Other Cash-in
84-95	Fifth Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Fifth Transaction: Transaction Cash-in Code (position 83). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.
96	Sixth Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the sixth cash-in transaction being reported. If no transaction code is entered, space fill this field. Code Type of Transaction A Purchase of casino chips, tokens, and other gaming instruments B Deposit (front money or safekeeping) C Payment(s) on credit (including markers) D Currency wager(s) including money plays E Currency received for wire transfer(s) out F Purchase(s) of casino check(s) G Currency exchange(s) H Bills inserted into gaming devices Z Other Cash-in
97-108	Sixth Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Sixth Transaction: Transaction Cash-in Code (position 96). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
109	Seventh Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the seventh cash-in transaction being reported. If no transaction code is entered, space fill this field. Code Type of Transaction A Purchase of casino chips, tokens, and other gaming instruments B Deposit (front money or safekeeping) C Payment(s) on credit (including markers) D Currency wager(s) including money plays E Currency received for wire transfer(s) out F Purchase(s) of casino check(s) G Currency exchange(s) H Bills inserted into gaming devices Z Other Cash-in
110-121	Seventh Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Seventh Transaction: Transaction Cash-in Code (position 109). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.
122	Eighth Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the eighth cash-in transaction being reported. If no transaction code is entered, space fill this field. Code Type of Transaction A Purchase of casino chips, tokens, and other gaming instruments B Deposit (front money or safekeeping) C Payment(s) on credit (including markers) D Currency wager(s) including money plays E Currency received for wire transfer(s) out F Purchase(s) of casino check(s) G Currency exchange(s) H Bills inserted into gaming devices Z Other Cash-in
123-134	Eighth Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Eighth Transaction: Transaction Cash-in Code (position 122). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

135	Ninth Transaction: Transaction Cash-in Code Item 31	1	Enter the appropriate code to describe the ninth cash-in transaction being reported. If no transaction code is entered, space fill this field.
			Code Type of Transaction
			  A Purchase of casino chips, tokens, and other gaming instruments
			  B Deposit (front money or safekeeping)
			  C Payment(s) on credit (including markers)
			  D Currency wager(s) including money plays
			  E Currency received for wire transfer(s) out
			  F Purchase(s) of casino check(s)
			  G Currency exchange(s)
			  H Bills inserted into gaming devices
			  Z Other Cash-in

136-147	Ninth Transaction: Cash-in Amount Item 31	12	Enter the Transaction Cash-in Amount associated with the Transaction Cash-in Code in the Ninth Transaction: Transaction Cash-in Code (position 135). This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.
148-160	Filler	13	Space Filled.
161-184	Other Cash-in Description Item 31z	24	REQUIRED if "Z" is used for any Cash-in Codes in Positions 31-147, enter a description of the cash-in transaction. Left justify and space fill unused positions.

185	First Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the first Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below.
			  Code Type of Transaction
			   I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments
			   J Withdrawal(s) of deposit (front money or safekeeping)
			   K Advance(s) on credit (including markers)
			   L Payment(s) on wager(s) (including race book and OTB or sports pool)
			   M Currency paid from wire transfer in
			   N Negotiable instrument(s_) cashed (including checks)
			   O Currency Exchange(s)
			   P Travel/complimentary expenses & gaming incentives
			   T Payments for tournament, contest or other promotions
			   Y Other cash-out

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
186-197	First Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the First Transaction: Transaction Cash-out Code (position 185). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.
198	Second Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the second Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below. Code Type of Transaction I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments J Withdrawal(s) of deposit (front money or safekeeping) K Advance(s) on credit (including markers) L Payment(s) on wager(s) (including race book and OTB or sports pool) M Currency paid from wire transfer in N Negotiable instrument(s_) cashed (including checks) O Currency Exchange(s) P Travel/complimentary expenses & gaming incentives T Payments for tournament, contest or other promotions Y Other cash-out
199-210	Second Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Second Transaction: Transaction Cash-out Code (position 198). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
211	Third Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the third Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below. Code Type of Transaction I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments J Withdrawal(s) of deposit (front money or safekeeping) K Advance(s) on credit (including markers) L Payment(s) on wager(s) (including race book and OTB or sports pool) M Currency paid from wire transfer in N Negotiable instrument(s_) cashed (including checks) O Currency Exchange(s) P Travel/complimentary expenses & gaming incentives T Payments for tournament, contest or other promotions Y Other cash-out
212-223	Third Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Third Transaction: Transaction Cash-out Code (position 211). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
224	Fourth Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the fourth Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below. Code Type of Transaction I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments J Withdrawal(s) of deposit (front money or safekeeping) K Advance(s) on credit (including markers) L Payment(s) on wager(s) (including race book and OTB or sports pool) M Currency paid from wire transfer in N Negotiable instrument(s_) cashed (including checks) O Currency Exchange(s) P Travel/complimentary expenses & gaming incentives T Payments for tournament, contest or other promotions Y Other cash-out
225-236	Fourth Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Fourth Transaction: Transaction Cash-out Code (position 224). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

237	Fifth Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the fifth Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below.
			
			Code Type of Transaction
			  I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments
			  J Withdrawal(s) of deposit (front money or safekeeping)
			  K Advance(s) on credit (including markers)
			  L Payment(s) on wager(s) (including race book and OTB or sports pool)
			  M Currency paid from wire transfer in
			  N Negotiable instrument(s_) cashed (including checks)
			  O Currency Exchange(s)
			  P Travel/complimentary expenses & gaming incentives
			  T Payments for tournament, contest or other promotions
			  Y Other cash-out

238-249	Fifth Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Fifth Transaction: Transaction Cash-out Code (position 237). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

250	Sixth Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the sixth Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below.
			
			Code Type of Transaction
			  I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments
			  J Withdrawal(s) of deposit (front money or safekeeping)
			  K Advance(s) on credit (including markers)
			  L Payment(s) on wager(s) (including race book and OTB or sports pool)
			  M Currency paid from wire transfer in
			  N Negotiable instrument(s_) cashed (including checks)
			  O Currency Exchange(s)
			  P Travel/complimentary expenses & gaming incentives
			  T Payments for tournament, contest or other promotions
			  Y Other cash-out

251-262	Sixth Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Sixth Transaction: Transaction Cash-out Code (position 250). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

263	Seventh Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the seventh Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below.
			
			Code Type of Transaction
			  I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments
			  J Withdrawal(s) of deposit (front money or safekeeping)
			  K Advance(s) on credit (including markers)
			  L Payment(s) on wager(s) (including race book and OTB or sports pool)
			  M Currency paid from wire transfer in
			  N Negotiable instrument(s_) cashed (including checks)
			  O Currency Exchange(s)
			  P Travel/complimentary expenses & gaming incentives
			  T Payments for tournament, contest or other promotions
			  Y Other cash-out

264-275	Seventh Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Seventh Transaction: Transaction Cash-out Code (position 263). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

276	Eighth Transaction: Transaction Cash-out Code
	Item 32
		1	Enter the appropriate code to describe the eighth Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below.
			
			Code Type of Transaction
			  I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments
			  J Withdrawal(s) of deposit (front money or safekeeping)
			  K Advance(s) on credit (including markers)
			  L Payment(s) on wager(s) (including race book and OTB or sports pool)
			  M Currency paid from wire transfer in
			  N Negotiable instrument(s_) cashed (including checks)
			  O Currency Exchange(s)
			  P Travel/complimentary expenses & gaming incentives
			  T Payments for tournament, contest or other promotions
			  Y Other cash-out

277-288	Eighth Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Eighth Transaction: Transaction Cash-out Code (position 276). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
289	Ninth Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the ninth Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below. Code Type of Transaction I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments J Withdrawal(s) of deposit (front money or safekeeping) K Advance(s) on credit (including markers) L Payment(s) on wager(s) (including race book and OTB or sports pool) M Currency paid from wire transfer in N Negotiable instrument(s_) cashed (including checks) O Currency Exchange(s) P Travel/complimentary expenses & gaming incentives T Payments for tournament, contest or other promotions Y Other cash-out
290-301	Ninth Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Ninth Transaction: Transaction Cash-out Code (position 298). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks

302	Tenth Transaction: Transaction Cash-out Code Item 32	1	Enter the appropriate code to describe the tenth Cash-out transaction. If no transaction code is entered, space fill this field. Cash-out codes for electronic submissions differ from those listed on the printed form. Electronic submission must use the codes specified below.
			
			Code Type of Transaction
			  I Redemption(s) of casino chips, tokens, TITO Tickets and other gaming instruments
			  J Withdrawal(s) of deposit (front money or safekeeping)
			  K Advance(s) on credit (including markers)
			  L Payment(s) on wager(s) (including race book and OTB or sports pool)
			  M Currency paid from wire transfer in
			  N Negotiable instrument(s_) cashed (including checks)
			  O Currency Exchange(s)
			  P Travel/complimentary expenses & gaming incentives
			  T Payments for tournament, contest or other promotions
			  Y Other cash-out

303-314	Tenth Transaction: Cash-out Amount Item 32	12	Enter the Transaction Cash-out Amount associated with the Transaction Cash-out Code in the Tenth Transaction: Transaction Cash-out Code (position 302). This entry must be right justified and zero filled. If no entry is recorded, space fill the field.
315-327	Filler	13	Space Filled.
328-351	Other Cash-out Description Item 32z	24	REQUIRED if "Y" is used for any Cash-out Code in positions 185-314, enter a description of the cash-out transaction. Left justify and fill space fill unused positions.
352-366	Transaction Total Amount Cash In Item 31 total	15	REQUIRED if there are Cash-in transactions recorded. Enter the total amount of currency received in this transaction. Enter only dollar amounts, no cents, always round cents up to next higher dollar. Do not truncate. This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.
367-381	Transaction Total Amount Cash Out Item 32 total	15	REQUIRED if there are Cash-out transactions recorded. Enter the total amount of currency paid out in this transaction. Enter only dollar amounts, no cents, always round cents up to next higher dollar. Do not truncate. This entry must be right justified and zero filled. If no entry is recorded, space fill the field positions.

Transaction Summary (2B) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
382-389	Transaction Date Item 33	8	REQUIRED. Enter the date of this transaction. Enter as a numeric 8-digit field in the format Month, day, year (MMDDCCYY).
390-391	Foreign Currency	2	If foreign currency was used, enter the two-digit country code for the currency used. See http://www.fincen.gov/country_and_state_codes.pdf.
392-393	Number of Customer Records	2	REQUIRED. Enter the count of Customer (2C) Records associated with this transaction (01-99). Right justify and fill unused positions with zeroes.
394-395	Number of Agent Records	2	REQUIRED. Enter the count of Agent (2D) Records associated with this transaction (00-99).
396	Multiple Transactions Item 30	1	Enter an X if there are multiple transactions.
397-410	Document Control Number (DCN)	14	REQUIRED. Enter all zeroes on initial submission of this transaction. Enter the DCN assigned to the transaction by ECC-D when submitting an amended record. If this field is completed, field position 30 must contain an X indicating that this transaction is an amendment to a previous transaction.
411-510	Filler	100	Space Filled.
511-520	User Field	10	Use this field for any describe information you may require, otherwise, space fill. The acknowledgment file WILL include this field.

Customer (Part I - Section A) (2C) Record

This record identifies the person(s) or organization(s) for which this transaction was completed. This record is required. There must be at least one of these records for every customer involved in this transaction. The numbers listed on the 2C record below refers to the CTRC Form Part I.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2C".
3-7	Location Code	5	REQUIRED. Enter the location code for the submitting casino. Do not use all zeroes.
8-12	Transaction Sequence Number	5	REQUIRED. Enter the transaction sequence number from the associated Transaction Summary "2B" Record.
13	Multiple Persons Item 2	1	Enter an X, if there are multiple persons.
14-48	Organization or Individual Last Name. Item 3	35	REQUIRED. Enter the customer's last name. Use the standard name editing instructions in the General Specifications. Left justify and space fill unused positions.
49-67	Individual First Name. Item 4	19	REQUIRED if individual. Enter the customer's first name. Use the standard name editing instructions in the General Specifications. Enter XX if an organization. Left justify and space fill unused positions.
68	Individual's middle initial. Item 5	1	Enter the customer's middle initial if known. Space fill if there is no middle initial.
69-123	Doing Business As (DBA) Item 6	55	Enter the customer Doing Business As (DBA) name. Use the standard name editing instructions in the General Specifications. Left justify and space fill unused positions.
124-173	Street Address Item 7	50	REQUIRED. Enter the customer's street address. Do not enter Post Office Box Number. Do not abbreviate. Left justify and space fill unused positions.
174-198	City Item 9	25	REQUIRED. Enter the customer's city. Do not abbreviate. Left justify and fill space fill unused positions.
199-200	State Item 10	2	REQUIRED. Enter the customer's state code. Use the valid abbreviation from http://www.fincen.gov/country_and_state_codes.pdf.
201-209	ZIP Code Item 11	9	REQUIRED. Enter the customer's valid 9-digit ZIP Code. If only the first 5 digits are known, left justify and space fill the unused positions.
210-211	Country Code Item 12	2	REQUIRED. Enter a valid 2-digit country code. Use the valid abbreviation from http://www.fincen.gov/country_and_state_codes.pdf.

Customer (Part I - Section A) (2C) Record (*continued*)

Field Position	Field Title	Field Length	Description and Remarks
212-220	SSN/EIN Item 8	9	REQUIRED. Enter the Customer's Social Security Number (SSN) or Employer Identification Number (EIN). EIN is used if the customer is <u>not</u> an individual. If the customer is a nonresident alien who does not have an SSN or an IRS Individual Taxpayer Identification Number (ITIN), enter XX.
221-228	Date of Birth Item 13	8	REQUIRED. Enter a valid Date of Birth as an 8-position field in format: Month, day, century, year (MMDDCCYY) Enter XX in the MM field if entire date is unknown.

229	Method of Identification
	
	Item14
		1	REQUIRED. Enter the code for the type of identification used to verify the customer's identity. If more than one type of ID is used, always submit first type.
			Code Identification Code Identification
			   A Examined ID credential
			   B Known Customer - info on file
			   C Organization

230	Describe ID Credential
	
	Item 15
		1	REQUIRED. Enter the code for the type of identification used to describe the customer's or beneficiary's name. If more than one type of ID is used, always submit first type. <u>If field position 229 (item 14 on the form) is coded "c" leave this item blank</u>.
			        Code Type
			       A Driver Permit/State I.D
			       B Passport
			       C Alien Registration
			       Z Other

231-254	Other description Item 15z	24	REQUIRED if Z above is entered. If code in ID Credential above is Z, "other", enter description. Left justify the entry and space fill any unused positions. <u>If field position 229 (item 14 on the form) is coded "c" leave this item blank</u>.
255-256	ID Issued by State/Country Item 15	2	REQUIRED. Enter valid country code or state code from http://www.fincen.gov/country_and_state_codes.pdf, from where the identification was issued. <u>If field position 229 (item 14 on the form) is coded "c" leave this item blank</u>.
257-278	ID Number Item 15	22	REQUIRED. Enter the number from the identification. Left justify the entry and space fill any unused positions. <u>If field position 229 (item 14 on the form) is coded "c" leave this item blank</u>.
279-300	Customer Account Number Item 16	22	Enter the principal account number the casino has assigned to the owner of this transaction. If the transaction does not involve an account number, enter XX Left justify the entry and space fill any unused positions.
301-510	Filler	210	Space Filled.
511-520	User Field	10	Use this field for any descriptive information you may require; otherwise, space fill. The acknowledgment file WILL include this field.

Agent (Part I- Section B) (2D) Record

This record contains information about the identity of the person(s) who acted as Agent for the transaction. This record is required when there is a separate agent involved in the transaction. The numbers listed on the 2D record below refers to the CTRC Form Part I (section B).

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2D".
3-7	Location Code	5	REQUIRED. Enter the location number for the submitting casino. Do not use all zeroes.
8-12	Transaction Sequence Number	5	REQUIRED. Enter the transaction sequence number from the associated currency transaction "2B" Record.
13	Multiple Agents Indicator Item 17	1	Enter an X, if there are multiple agents.
14-48	Agent Last Name Item 18	35	Enter the agent's last name. Use the name editing instructions in the General Specifications. Left justify and fill space fill unused positions.
49-67	Agent First Name. Item 19	19	Enter agent's First Name. Use the name editing instructions in the General Specifications. Left justify and space fill unused positions.
68	Agent Middle Initial. Item 20	1	Enter agent's middle initial. Space fill if no middle initial.
69-118	Agent Street Address Item 21	50	Enter the agent's mailing address. Do not use Post Office Box. Left justify and fill space fill unused positions.
119-143	Agent City Item 23	25	Enter agent's city. Do not abbreviate. Left justify and space fill unused positions.
144-145	Agent State Item 24	2	Enter the agent's state. Use the valid abbreviation from http://www.fincen.gov/country_and_state_codes.pdf.
146-154	Agent ZIP Code. Item 25	9	Enter the valid 9-digit ZIP Code. If only the first 5 digits are known, left justify and space fill the unused positions.
155-156	Country Code Item 26	2	Enter a valid 2-digit country code. Use http://www.fincen.gov/country_and_state_codes.pdf.
157-165	SSN Item 22	9	Enter the agent's SSN. If that individual is a non-resident alien who does not have an SSN or ITIN, enter XX. Left justify the entry and space fill the unused positions.

Agent (Part I- Section B) (2D) Record (continued)

Field Position	Field Title	Field Length	Description and Remarks
166-173	Date of Birth Item 27	8	Enter the Date of Birth, if it is known, as an 8-position field in format: month, day, year (MMDDCCYY). Enter XX in the MM field if entire date is unknown.

174	Method of Identification
	Item 28
		1	Enter the code for the type of identification used to verify the customer's or beneficiary's name. If more than one type of ID is used, always submit first type.
			Code **Identification**
			  A Examined ID credential
			  B Known Customer - info on file

175	Describe ID Credential
	Item 29
		1	Enter the code for the type of identification used to describe the customer's or beneficiary's name. If more than one type of ID is used, always submit first type.
			Code **Type**
			  A Driver Permit/State I.D
			  B Passport
			. C Alien Registration
			  Z Other

176-199	Other description Item 29z	24	If code in "ID Credential" above is Z "other", enter description. Left justify the entry and space fill unused positions.
200-201	ID Issued By State/Country Item 29	2	Enter the valid state or country code where identification was issued. Use state and country codes from http://www.fincen.gov/country_and_state_codes.pdf.
202-223	ID Number Item 29	22	Enter the number from the identification. Left justify the entry and space fill unused positions.
224-510	Filler	287	Space Filled.
511-520	User Field	10	Use this field for any descriptive information you may require; otherwise, space fill. The acknowledgment file WILL include this field.

Location Summary (8A) Record

This record is required for each casino location reporting transactions on this file. It follows the last transaction reported for the casino location. This record contains counts for the types of record associated with the casino location.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "8A".
3-7	Location Code	5	REQUIRED. Enter the location number for the submitting casino. Do not use all zeroes.
8-14	Transaction Summary Record Count for Location	7	REQUIRED. Enter number of Transaction Summary "2B" records for the casino location. Right justify and fill unused positions with zeroes.
15-21	Filler	7	Space Filled.
22-28	Customer Record Count	7	REQUIRED. Enter the number of Customer "2C" records for the casino location. Right justify and fill unused positions with zeroes.
29-35	Agent Record Count	7	REQUIRED. Enter the number of Agent "2D" records for the casino location. Right justify and fill unused positions with zeroes.
36-50	Total Amount Cash In Reported for Location	15	REQUIRED. Enter the total dollar amount of cash in reported for the casino location in whole dollars. Right justify and fill unused positions with zeroes.
51-65	Total Amount Cash Out Reported for Location	15	REQUIRED. Enter the total dollar amount of cash out reported for the casino location in whole dollars. Right justify and fill unused positions with zeroes.
66-510	Filler	445	Space Filled.
511-520	User Field	10	Use this field for any descriptive information you may require; otherwise, space fill. Not included in acknowledgment file.

Casino Summary (8B) Record

This record is required; it summarizes counts of transactions for each Casino reporting on this file. It follows the last reported Location Summary "8A" Record filed for the Casino. This record contains counts of the number of each type of record for the Casino.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "8B".
3-9	Location Record Count	7	REQUIRED. Enter number of Location "2A" records for the Casino. Right justify and fill unused positions with zeroes.
10-16	Transaction Summary Record Count	7	REQUIRED. Enter number of Transaction Summary "2B" records for the Casino. Right justify and fill unused positions with zeroes.
17-23	Filler	7	Space filled.
24-30	Customer Record Count	7	REQUIRED. Enter number of Customer "2C" records for the Casino. Right justify and fill unused positions with zeroes.
31-37	Agent Record Count	7	REQUIRED. Enter number of Agent "2D" records for the Casino. Right justify and fill unused positions with zeroes.
38-52	Total Cash In Amount Reported for Casino	15	REQUIRED. Enter the total dollar amount of cash in reported for the Casino in whole dollars. Right justify and fill unused positions with zeroes.
53-67	Total Cash Out Amount Reported for Casino	15	REQUIRED. Enter the total dollar amount of cash out reported for the Casino in whole dollars. Right justify and fill unused positions with zeroes.
68-510	Filler	443	Space Filled.
511-520	User Field	10	Use this field for any descriptive information you may require; otherwise, space fill. Not included in acknowledgment file.

File Summary Record (9Z) Record

This record is required as the last record on the file. There must be only one of this record type on the file. This record contains counts of the number of each type of record on the file.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "9Z".
3-9	Casino Summary Record Count	7	REQUIRED. Enter number of Casino Summary "8B" records. Right justify and fill unused positions with zeroes.
10-16	Location Summary Record Count	7	REQUIRED. Enter number of Location Summary "8A" records. Right justify and fill unused positions with zeroes.
17-23	Transaction Summary Record Count	7	REQUIRED. Enter number of Transaction Summary "2B" Records. Right justify and fill unused positions with zeroes.
24-30	Filler	7	Space filled.
31-37	Customer Record Count	7	REQUIRED. Enter number of Customer "2C" records. Right justify and fill unused positions with zeroes.
38-44	Agent Record Count	7	REQUIRED. Enter number of Agent "2D" records. Right justify and fill unused positions with zeroes.
45-59	Total File Cash In Amount Reported	15	REQUIRED. Enter total dollar amount of cash in reported in whole dollars. Right justify and fill unused positions with zeroes.
60-74	Total File Cash Out Amount Reported	15	REQUIRED. Enter total dollar amount of cash out reported in whole dollars. Right justify and fill unused positions with zeroes.
75-510	Filler	436	Space Filled.
511-520	User Field	10	Use this field for any descriptive information you may require; otherwise, enter blanks. Not included in acknowledgment file.

Acknowledgement Records

Acknowledgement (1A) Record

This record is created by ECC-D as part of an acknowledgment file returned to the Transmitter. This record contains identifying information and error codes pertaining to the transmitter data received by ECC-D. **All records on the file will be 80 positions.**

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "1A".
3-10	Coverage Beginning Date	8	From positions 223-230 of the incoming "1A" record. This as an 8-position field in format: month, day, year (MMDDCCYY).
11-18	Coverage Ending Date	8	From positions 231-238 of the incoming "1A" record. This as an 8-position field in format: month, day, year (MMDDCCYY).
19-26	Transmitter Control Code (TCC)	8	From positions 239-246 of the incoming "1A" record.
27-56	Error Codes (1-10)	30	Error Codes are assigned by ECC-D after consistency and validity edits. The Error Code List follows later in this document. Each code is 3 digits. Unused Codes are zero filled.
57-60	Format Indicator	4	Enter "0051".
61-80	Filler	20	Space Filled.

Acknowledgement Location (2A) Record

This record is created by ECC-D as part of an acknowledgment file returned to the Transmitter. This record contains identifying information and error codes pertaining to the Location data received.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2A".
3-7	Location Code	5	From positions 11-15 of incoming "2A" record.
8-34	Error Codes (1-9)	27	Error Codes are assigned by ECC-D after consistency and validity edits. The Error Code List follows later in this document. Each code is 3 digits. Unused codes are zero filled.
35-80	Filler	46	Space Filled.

Acknowledgement Transaction Information and Error Code (2B) Record

This record is created by ECC-D as part of an acknowledgment file returned to the Transmitter. This record contains identifying information and error codes pertaining to transactions records. The transaction sequence number identifies transactions. The ECC-D assigned DCN is returned to the transmitter for each transaction.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2B".
3-7	Transaction Sequence Number	5	From positions 16-20 of incoming "2B" record.
8-21	Document Control Number	14	Assigned by ECC-D. A unique identifying number.
22-31	User Field	10	From positions 511-520 of the incoming "2B" record.
32-33	Filler	2	Space filled.
34-57	Error Codes (1-8)	24	Error Codes are assigned by ECC-D after consistency and validity edits. The Error Code List follows later in this document. Each code is 3 digits. Unused codes are zero filled.
58-80	Filler	23	Space filled.

Acknowledgement Customer (Part I – Section A) Information and Error Code (2C) Record

This record is created by ECC-D as part of an acknowledgment file returned to the Transmitter. This record contains identifying information and error codes pertaining to customer records for a given transaction. The transaction sequence number identifies the transaction and there will be one 2C Acknowledgement Customer record for every incoming 2C Customer record in the original transaction. The ECC-D assigned DCN is returned to the transmitter for each transaction.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2C".
3-7	Transaction Sequence Number	5	From positions 16-20 of incoming "2B" record.
8-21	Document Control Number	14	Assigned by ECC-D. A unique identifying number.
22-31	User Field	10	From positions 511-520 of the incoming "2C" record.
32-33	Filler	2	Space filled.
34-57	Error Codes (1-8)	24	Error Codes are assigned by ECC-D after consistency and validity edits. The Error Code List follows later in this document. Each code is 3 digits. Unused codes are zero filled.
58-80	Filler	23	Space filled.

Acknowledgement Agent (Part I – Section B) Information and Error Code (2D) Record

This record is created by ECC-D as part of an acknowledgment file returned to the Transmitter. This record contains identifying information and error codes pertaining to agent records for a given transaction. The transaction sequence number identifies the transaction and there will be one 2D Acknowledgement Agent record for every incoming 2D Agent record in the original transaction. The ECC-D assigned DCN is returned to the transmitter for each transaction.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "2D".
3-7	Transaction Sequence Number	5	From positions 16-20 of incoming "2B" record.
8-21	Document Control Number	14	Assigned by ECC-D. A unique identifying number.
22-31	User Field	10	From positions 511-520 of the incoming "2D" record.
32-33	Filler	2	Space filled.
34-57	Error Codes (1-8)	24	Error Codes are assigned by ECC-D after consistency and validity edits. The Error Code List follows later in this document. Each code is 3 digits. Unused codes are zero filled.
58-80	Filler	23	Space filled.

Acknowledgement Trailer for Transmitter (9Z) Record

This record is created by ECC-D as part of an acknowledgment file returned to the Transmitter. This record is a systems control record and it summarizes the data processed by ECC-D. There are no error codes in this record.

Field Position	Field Title	Field Length	Description and Remarks
1-2	Record Type	2	REQUIRED. Enter "9Z".
3-9	Number of Locations in File	7	Generated by ECC-D, the number of Location records processed from this file.
10-16	Number of Transactions (CTRCs) in File	7	Generated by ECC-D.
17-23	Number of Customer (2C) records in file	7	Generated by ECC-D.
24-30	Number of Agent (2D) records in file	7	Generated by ECC-D.
31-80	Filler	50	Space Filled.

Definitions

Term	Description
Account Number	The customer's account number as related to the transaction being reported, if any.
Agent	Relates to the entries in Part I, Section B of the CTRC. Any individual who conducts a currency transaction on behalf of another individual or organization.
Amended Report	A report that is used to correct one that was previously filed and accepted by ECC-D.
Card Club	Any organization duly licensed or authorized to do business as a card club, gaming club, card room, gaming room, or similar gaming establishment in the United States, including tribal card clubs, and having gross annual gaming revenue in excess of $1,000,000.00. The term includes the principal headquarters and every domestic branch or place of business of the establishment.
Casino	Any organization duly licensed or authorized to do business as a casino or gambling casino, including a tribal casino, in the United States and having gross annual gaming revenues in excess of $1,000,000.00. This includes the principal headquarters and every domestic branch or place of business of the casino.
Checks	For purposes of this filing it includes all negotiable instruments, (including personal, business, bank, cashier's and third-party checks), money orders, traveler's checks, certificates of deposit, and promissory notes not customarily accepted as money.
Corrected Report	A report which is used to correct one that was previously filed but rejected by ECC-D because of validity or consistency errors.
CTRC	Currency Transaction Report by Casinos (Form 103).
Customer	Any person involved in a currency transaction whether or not that person participates in the casino's gaming activities.
EIN	Employer Identification Number.
File	A file consists of CTRC records submitted by a transmitter electronically.
Filer	Casino that prepares the CTRC.
Gaming Day	A casino's normal business day by which it keeps its books and records for business, accounting and tax purposes. If the casino offers 24-hour gaming, its gaming day is the 24-period by which it keeps its books and records.
Identifying Numbers	For individuals, it is the Social Security Number (SSN). For customers that are not individuals, it is the Employer Identification Number (EIN). If an individual is a non-resident alien, it is an Individual Taxpayer Identification Number (ITIN).

Definitions (*continued*)

ITIN	Individual Taxpayer Identification Number.
Location Code	ECC-D will assign a location code to each casino location. The code is used to differentiate between various casinos that file under the same EIN. It is an alpha/numeric code, which must be used when submitting transactions electronically.
Resolution Code	Code which signifies who is to receive the correspondence relating to transactions.
SSN	Social Security Number.
Transmitter	Person or organization submitting electronic file(s).
Transmitter Control Code (TCC)	An eight position alphanumeric character identifier assigned by ECC-D to the transmitter prior to actual submitting electronic files to the BSA E-Filing System. An Application for Electronic BSA E-Filing and Reporting must be filed with ECC-D to receive this identifier.

Error Code List

Error Code	Error Source
F00	The Record Identification is invalid. The Transmittal Control Code, Employer Identification Code (TIN/EIN) and Location Code do not match the ECC-D files.
F01	Non-numeric record counts were reported on the summary record.
F02	The number or records reported in the 9Z record does not match the computer count for the Record Type 2A or Record Type 2A is not the second record on the file.
F16	There is no Transmitter (1A) Record on the file.
F19	The Location Summary Record Amounts do not equal the total of the Transaction Amounts.
F20	The File Summary Record Amounts do not equal the total of the Transaction Amounts.
F25	The number of records reported in the 9Z record does not match the computer count for the Transaction Summary Record Type 2B or Record Type 2B is not the third record on the file.

Error Code List (*continued*)

F28	The number of records reported in the 9Z record does not match the computer count for the Customer (Part I, Section A) (2C) Record.
F29	The number of records reported in the 9Z record does not match the computer count for the Agent (Part I, Section B) (2D) Record.
F30	There is no Location Summary (8A) Record on the file.
F31	There is no Casino Summary (8B) Record on the file.
F32	There is no File Summary (9Z) Record on the file.
F33	The record was submitted as an Amendment Record with a DCN but no original CTRC record was found.
F34	An invalid record type was present on the submitted file.
Transmitter Record	
T01	The Name in the Transmitter (1A) Record is blank.
T02	The Address in the Transmitter (1A) Record is blank.
T03	The City in the Transmitter (1A) Record is blank.
T04	The State in the Transmitter Record (1A) is blank.
T05	The ZIP Code in the Transmitter (1A) Record is blank.
T06	The Area Code and/or Telephone Number in the Transmitter (1A) Record is blank.
T07	The Contact Name in the Transmitter (1A) Record is blank.
T08	The TCC in the Transmitter (1A) Record is blank or invalid.
T09	The Transmitter EIN in the Transmitter (1A) Record is blank or invalid.
T10	The Coverage beginning date in the Transmitter (1A) Record is blank or invalid.
T11	The Coverage ending date in the Transmitter (1A) Record is blank or invalid.

Error Code List (*continued*)

Location Header Record	
L01	The Location Code in the Location Header (2A) Record is blank or invalid.
L02	The Resolution code in the Location Header (2A) Record is missing or invalid.
L03	The Casino Trade Name and/or Legal Name in the Location Header (2A) Record is blank.
L04	The Casino Address in the Location Header (2A) Record is blank.
L05	The Casino City in the Location Header (2A) Record is blank.
L06	The Casino State in the Location Header (2A) Record is blank.
L07	The Casino ZIP Code in the Location Header (2A) Record is blank or invalid.
L08	The EIN in the Location Header (2A) Record is blank or invalid.
Error Codes – Returned on the Acknowledgment "2B", "2C" and "2D" Records	
C01	The Name in the Agent (2D) Record is invalid due to one of the following reasons:
a.	The Name equals spaces, zeros or low values.
b.	The Name was not keyed in the proper format.
C02	The Address in the Agent (2D) Record is blank or incomplete due to one of the following reason:
a.	The Street Address is blank.
b.	The City is blank.
c.	The State is blank or invalid if equal to the U.S., Canada or Mexico.
d.	The Country is not a standard abbreviation.
e.	The ZIP Code is blank, zeroes, or invalid if the country is equal to the U.S.
C03	The Method of Identification in the Agent (2D) Record is not a valid code or is incomplete.
C04	The Date of Birth in the Agent (2D) Record is blank or invalid.

Error Code List (*continued*)

Error Codes – Returned on the Acknowledgment "2B", "2C" and "2D" Records (*continued*)		
C07		The Name/ID is incomplete in the Agent (2D) Record.
C11		The name in the Customer (2C) Record is invalid.
C12		The Address in the Customer (2C) Record is blank or incomplete due to one of the following reasons:
	a.	The Street address is blank.
	b.	The City is blank.
	c.	The State is blank or it contains an invalid abbreviation if the country is equal to the U.S., Canada or Mexico.
	d.	The Country Code is not a valid country abbreviation.
	e.	The ZIP Code is blank, zeroes, or invalid if the country is equal to the U.S.
C13		The Method of Identification in the Customer (2C) Record is not a valid code or is incomplete.
C14		The Date of Birth in the Customer (2C) Record is blank or invalid.
C16		The Customer's Account Number is missing in the Customer (2C) Record.
C20		The Type or Transaction codes are missing or invalid in the Transaction Summary (2B) Record. A minimum of one of the following Type of Transactions must be present:
	a.	A-H + Z Transaction Cash In Codes.
	b.	I-P + T & Z Transaction Cash Out Codes.
C22		The Transaction Total Amounts of currency is invalid in the Transaction Summary (2B) Record due to one of the following reasons:
	a.	The Amount is blank.
	b.	The Amount is non-numeric.
	c.	The Amount(s) is invalid. Reference Appendix A
C24		The Transaction Date is blank or invalid in the Transaction Summary (2B) Record due to one of the following reasons:
	a.	The Date is not numeric.
	b.	The Month is not a valid code between 01 and 12.
	c.	The Day is not a valid code between 01 and 31.
	d.	The Date is not less than the current date.
C26		The SSN (TIN) in the Agent (2D) Record is invalid, or the SSN is equal to spaces, zeros or is non-numeric.

Error Code List (*continued*)

Error Codes – Returned on the Acknowledgment "2B", "2C" and "2D" Records (*continued*)		
C27		The SSN does not match our file as a valid SSN for the Name shown in the Agent (2D) Record.
C28		The Identifying EIN/SSN in the Customer (2C) Record is an invalid number, contains all zeroes or is equal to all 9's.
C29		The Name in the Customer (2C) Record does not match the EIN/SSN in the ECC-D files.
C32		The Approving Official's Title or Preparer's Name or Contact Name in the Location Header (2A) Record is missing.
C33		The Approval Date in the Location Header (2A) Record is invalid due to one of the following reasons:
	a.	The Date is blank or not numeric.
	b.	The Month is not a valid number between 01 and 12.
	c.	The Day is not a valid number between 01 and 31.
	d.	The Date is not less than the current date.
C36		The Foreign Currency Country Code in the Transaction Summary (2B) Record is blank or invalid.
C37		The Number of Customer Records (2B) conflicts with the Multiples Persons indicator (2C).
C38		The Number of Agent Records (2B) conflicts with the Multiples Agents indicator (2D).

FAQs

Q.	What code do we use for a location code in records?
A.	ECC-D will assign a location code to every casino location filing electronically. Although most casinos have only one location per EIN (TIN), some casinos have multiple locations.
Q.	If an error is identified in the Location 2A records, will ECC-D still validate subsequent Location records?
A.	Yes, if there are other location records we will continue validation. However, each location within the erroneous group will contain the location error. This entire group must be corrected and resubmitted.
Q.	If we must begin each file with a Transaction Sequence Number starting with 00001 for each 2B record, how are we to match the acknowledgment records with the right submission and sequence?
A.	In the Transmitter Record "1A", the coverage beginning or ending dates should be considered with the sequence numbers so that each submission is unique. This record will be returned to you on the acknowledgment file for this purpose.
Q.	During the acceptance test, are you planning to return an acknowledgment file?
A.	Yes, we will acknowledge your test file but do not require that it be corrected and resubmitted if it contains errors. We do recommend that you use it to test your internal error programs.
Q.	On the Declaration Statement under Test Submission, Item 2 there is a blank for a test submission date. How will this affect my status if I do not make this date?
A.	This does not affect your status at all; it is a planning date for ECC-D so we can estimate monthly input volumes. If the estimated date passes, we will contact you for your next best estimate.
Q.	The Amount of Transaction on the 2B Record is in whole dollars. If I have a transaction for $10,000.01, which is reportable, how should this be submitted?
A.	Form instructions require that all fractional amounts be rounded up to the next whole dollar. This transaction for $10,000.01 must be reported as $10,001.
Q.	Are the Standard Country and State Abbreviations mandatory?
A.	Yes. This table is mandatory.
Q.	In the general specifications, is the record format fixed or variable length?
A.	The record format and the block size are fixed lengths.

Appendix A

The Transaction Total Amounts of currency is invalid in the Transaction Summary (2B) Record due to one of the following reasons:
- The amount is blank.
- The amount is not numeric.
- The amount is invalid. All of the following rules are checked:
    - The Total Amount (either Cash In or Cash Out) must be > = $10,000. If multiple transactions are involved, it is the aggregate total amount that must be more than $10,000.
    - Cash In and Cash Out are separate and are not aggregated in any fashion.
    - If Cash In is >= $10,000 and Cash Out is = 0 or >= $10,000, then there is no acknowledgment error
    - If Cash In is >= $10,000 and cash Out is = 0, then there is no acknowledgment error
    - If Cash In is >=$10,000 and Cash Out is <$10,000 and >0, then there is an acknowledgment error
    - If cash in and Cash out amounts are both = to blank, then there is an acknowledgment error
    - If Cash In and Cash Out amounts are both = to 0, then there is an acknowledgment error
    - If Cash In is <10,000 and Cash out is blank, then there is an acknowledgment error
    - If Cash In is <10,000 and Cash Out is 0, then there is acknowledgment error
    - If Cash Out is >=$10,000 and Cash In is = 0 or >= $10,000, then there is no acknowledgment error
    - If Cash Out is >= $10,000 and Cash In is = 0, then there is no acknowledgment error
    - If Cash Out is >=$10,000 and Cash In is <$10,000 and >0, then there is an acknowledgment error
    - If Cash Out is <10,000 and Cash In is blank, then there is an acknowledgment error
    - If Cash Out is <10,000 and Cash In is 0, then there is an acknowledgment error